

# Standards, Compliance, and Enforcement Bulletin

December 2–8, 2024

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

### ACTIVE STANDARDS POSTINGS

#### Current Ballots (ballot periods close at 8:00 p.m. Eastern)

| Project   | Action       | Start Date | End Date |
|---|--------------|------------|----------|
| <b>NEW</b> Project 2022-03 – Energy Assurance with Energy-Constrained Resources | Final Ballot | 11/25/24   | 12/4/24  |

#### Posted for Comment

| Project | Action | Start Date | End Date |
|---------|--------|------------|----------|
| --      | --     | --         | --       |

### OTHER ACTIVE COMMENT PERIODS

#### Posted for Comment

| Posting | Action | Start Date | End Date |
|---------|--------|------------|----------|
|---------|--------|------------|----------|

|    |    |    |    |
|----|----|----|----|
| -- | -- | -- | -- |
|----|----|----|----|

## STANDARDS NEWS

### **NEW Nomination Period Open for Project 2021-01 System Model Validation with IBRs Drafting Team**

Nominations are being sought for additional drafting team members through 8 p.m. Eastern, December 20, 2024. Use the [electronic form](#) to submit a nomination. Contact [Cindy Jackson](#) regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#).

### **NEW Final Ballot Results for Project 2021-03 – CIP-002**

Final Ballot Results for Project 2021-03 – CIP-002 concluded 8 p.m. Eastern, November 22, 2024, for the following standard and implementation plan:

- CIP-002-8 — Cyber Security - BES Cyber System Categorization
- Implementation Plan

Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results.

|                     | Non-binding Poll |
|---------------------|------------------|
| CIP-002-8           | 90.24% / 87.12%  |
| Implementation Plan | 90% / 91.31%     |

The standard will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities. For information on the Standards Development Process, refer to the [Standard Processes Manual](#).

### Quick Links

- [Register in the SBS](#)
- [Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2023-2025 Reliability Standards Development Plan \(Filed With FERC\)](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Align Planned Maintenance**

The Align system will be down for scheduled maintenance starting from Wednesday December 4, at 8:00 p.m. Eastern (5:00 p.m. Pacific) through Wednesday December 4, 2024, at 10:00 p.m. Eastern (7:00 p.m. Pacific). The planned outage only affects Align. Information regarding these outages will also be displayed on the My Align News & Update section of Align.

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)

[ERO Enterprise Program Alignment Process](#)

[Consistency Reporting Tool \(EthicsPoint\)](#)

[Risk-Based Registration Initiative](#)

[Reliability Standard Audit Worksheets](#)

[Enforcement & Mitigation: Enforcement Actions](#)

[Align and ERO SEL](#)

### **ERO Enterprise Webinar – Registered Entity Refresher Training – Align Enforcement and Mitigation Modules**

On December 4, 2024, from 10:00 a.m. – 12:00 p.m. Eastern, the ERO Enterprise will host a refresher training for Registered Entities on how to use the Enforcement and Mitigation modules in Align. Registration is required for this training and is being offered to the Registered Entities that use Align. The training is designed to for registered entities to walk through:

- Creating and submitting a Finding to the CEA
- Providing updates to that Finding
- Creating and submitting a Mitigation record
- Managing the milestones
- Receiving and responding to Requests for Information
- Receiving and responding to Disposition Notifications
- Understanding where noncompliance and mitigation are in the process.

The training will focus on using the Align system in the Enforcement and Mitigation modules; presenters will not review regional processes. The training will be recorded and any questions received during the training will be answered in a FAQ document following the webinar.

## OTHER NEWS

### **NEW Reliability and Security Technical Committee 2024 Sector Nominations and Election Results**

The Reliability and Security Technical Committee (RSTC) held Sector Nominations from October 7 – November 4, 2024, to fill sector seats that are expiring January 31, 2025. Sectors 1-10 and 12 each had one seat open for a two-year term expiring in 2027.

The following sectors each received one nomination; therefore, the nominee will fill the open seat.

- Sector 3 – Marc Child
- Sector 4 – Gayle Nansel
- Sector 6 – Srinivas Kappagantula
- Sector 7 – Mohammad Awad
- Sector 9 – Darryl Lawrence
- Sector 10 – Drew Bonser
- Sector 12 – Christine Ericson

Sectors 1, 2, and 5 received more than one nominee. A sector election was held from November 16–27, 2023 to determine the elected representative for each of these sectors. The elected representatives are:

- Sector 1 – Todd Lucas
- Sector 2 – Scott Klauminzer
- Sector 5 – Nicola Parrota

Sectors 8 did not receive any nominations during the nomination period. This sector seat will remain vacant and the RSTC will seek to hold a special election in an attempt to fill the vacancy, per the [RSTC Charter](#).

If you have any questions about the RSTC election process, please contact [Stephen Crutchfield](#).

### STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the table below.

| U.S. Effective Dates for Reliability Standards |  |                 |
|--|--|-----------------|
| U.S. Effective Date                            | Standard(s)  | Phased-In (Y/N) |
| January 1, 2025                                | <a href="#">FAC-501-WECC-4 – Transmission Maintenance</a>  | No              |
| July 1, 2025                                   | <a href="#">IRO-010-5 – Reliability Coordinator Data and information Specification and Collection</a>   <a href="#">Implementation Plan</a><br><a href="#">TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection</a>   <a href="#">Implementation Plan</a> | No              |
| October 1, 2025                                | <a href="#">TOP-002-5 – Operations Planning</a>   <a href="#">Implementation Plan</a>  | No              |
| April 1, 2026                                  | <a href="#">CIP-003-9 – Cyber Security – Security Management Controls</a>   <a href="#">Implementation Plan</a>  | No              |
| July 1, 2026                                   | <a href="#">CIP-012-2 – Cyber Security–Communications between Control Centers</a>   <a href="#">Implementation Plan</a>  | No              |

### BOARD OF TRUSTEES AND FERC ACTION

#### NEW NERC Filings

On November 22, 2024, NERC submitted comments in three proceedings at FERC:

- [Docket No. RM24-7-000](#) - Critical Infrastructure Protection Reliability Standard CIP-015-1 – Cyber Security – Internal Network Security Monitoring NERC submits comments in response to the Notice of Proposed Rulemaking (NOPR) regarding Critical Infrastructure Protection Standard CIP-015-1 – Cyber Security – Internal Network Security Monitoring (INSM)
- [Docket No. RM24-4-000](#) - Supply Chain Risk Management Reliability Standards Revisions NERC and the regional entities submit joint comments in response to the NOPR on Supply Chain Risk Management Reliability Standards.
- [Docket No. RR25-1-000](#) - North American Electric Reliability Corporation NERC submits reply comments to comments of Public Citizen regarding revisions to NERC’s ROP Appendix 4E.

## UPCOMING EVENTS

For a full accounting of upcoming events, please refer to the [NERC Calendar](#).

### Workshops and Conferences

| Event   | Time    | Date          | Location    | Registration                             |
|---|---------|---------------|-------------|--|
| Reliable IBR Integration and Milestone 3 of FERC Order No. 901 Workshop | All day | January 15–16 | Phoenix, AZ | In-Person: TBD   <a href="#">Virtual</a> |

### Webinars

| Event  | Time                          | Date       | Registration            |
|--|-------------------------------|------------|-------------------------|
| Registered Entity Refresher Training – Align Enforcement and Mitigation Modules ERO Enterprise Webinar | 10:00 a.m.–12:00 p.m. Eastern | December 4 | Save the Date           |
| NERC 2024-2025 Winter Reliability Assessment   | 3:00 – 4:00 p.m. Eastern      | December 4 | <a href="#">Details</a> |

### Standing Committee Meetings and Conference Calls

| Event  | Time                         | Date        | Registration  |
|--|------------------------------|-------------|---|
| Standards Committee Meeting  | 10:00–3:00 p.m. Eastern      | December 10 | <a href="#">In-Person</a>   <a href="#">Virtual</a> |
| <b>NEW</b> Reliability and Security Technical Committee (RSTC) Meeting               | 11:00 a.m.–4:30 p.m. Eastern | December 11 | <a href="#">Agenda</a>   <a href="#">Register</a>   |
| <b>NEW</b> Reliability and Security Technical Committee (RSTC) Informational Session | 11:00 a.m.–4:30 p.m. Eastern | December 12 | <a href="#">Agenda</a>   <a href="#">Register</a>   |
| Compliance and Certification Committee Meeting                                       | 1:00–2:00 p.m. Eastern       | December 12 | <a href="#">Details</a>                             |

### ERO Enterprise Events

| Event | Time | Date | Registration |
|-------|------|------|--------------|
| --    | --   | --   | --           |

### Standard Drafting Team Meetings

Please refer to the [Standards calendar](#) for the most up-to-date listing of upcoming meetings.

| Project   | Time                    | Date         | Registration            |
|---|-------------------------|--------------|-------------------------|
| Project 2024-02 – Planning Energy Assurance   | 12:00–2:00 p.m. Eastern | December 2   | <a href="#">Details</a> |
| Project 2023-06 – Modifications to CIP-014  | All Day                 | December 3-5 | <a href="#">Details</a> |
| Project 2024-01 – Rules of Procedure Definitions Alignment (Generator Owner and Generator Operator) | 1:00–3:00 p.m. Eastern  | December 4   | <a href="#">Details</a> |
| Project 2024-02 – Planning Energy Assurance   | 1:00–3:00 p.m. Eastern  | December 5   | <a href="#">Details</a> |
| Project 2024-01 – Rules of Procedure Definitions Alignment (Generator Owner and Generator Operator) | 1:00–3:00 p.m. Eastern  | December 5   | <a href="#">Details</a> |
| Project 2024-01 – Rules of Procedure Definitions Alignment (Generator Owner and Generator Operator) | 1:00–3:00 p.m. Eastern  | December 11  | <a href="#">Details</a> |
| Project 2024-01 – Rules of Procedure Definitions Alignment (Generator Owner and Generator Operator) | 1:00–3:00 p.m. Eastern  | December 16  | <a href="#">Details</a> |

### ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Maureen Murtha](mailto:Maureen.Murtha@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.