

## A. Introduction

1. **Title:** Disturbance Monitoring and Reporting Requirements
2. **Number:** PRC-002-5
3. **Purpose:** To have adequate data available to facilitate analysis of Bulk Electric System (BES) Disturbances.
4. **Applicability:**
  - 4.1. **Functional Entities:**
    - 4.1.1. Reliability Coordinator
    - 4.1.2. Transmission Owner
    - 4.1.3. Generator Owner
  - 4.2. **Facilities:** BES Elements, excluding Inverter-Based Resources.<sup>1</sup>
5. **Effective Date:** See Implementation Plan

## B. Requirements and Measures

- R1. Each Transmission Owner shall: [*Violation Risk Factor: Lower*] [*Time Horizon: Long-term Planning*]
  - 1.1. Identify BES buses for which sequence of events recording (SER) and fault recording (FR) data is required by using the methodology in PRC-002-5, Attachment 1.
  - 1.2. Notify the other owners of BES Elements directly connected<sup>2</sup> to those BES buses, that SER or FR data is required for those BES Elements, only if the Transmission Owner who identified the BES buses in Part 1.1 does not have SER or FR data. This notification is required within 90 calendar days of completion of Part 1.1.
  - 1.3. Re-evaluate all BES buses at least once every five calendar years in accordance with Part 1.1 and notify other owners in accordance with Part 1.2.
- M1. The Transmission Owner for Requirement R1, Part 1.1 has a dated (electronic or hard copy) list of BES buses for which SER and FR data is required, identified in accordance with PRC-002-5, Attachment 1; has dated (electronic or hard copy) evidence that it notified other owners in accordance with Requirement R1, Part 1.2; and evidence that all BES buses have been re-evaluated within the required intervals under Requirement R1, Part 1.3.

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<sup>1</sup> Disturbance monitoring and reporting requirements for Inverter-Based Resources are addressed in PRC-028.

<sup>2</sup> For the purposes of this standard, “directly connected” BES Elements are BES Elements connected at the same voltage level within the same physical location sharing a common ground grid with the BES bus identified under Attachment 1. Transformers that have a low-side operating voltage of less than 100 kV are excluded.

- R2.** Each Transmission Owner and Generator Owner shall have SER data for circuit breaker position (open/close) for each circuit breaker it owns directly connected to the BES buses identified in Requirement R1 and associated with the BES Elements at those BES buses. *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
- M2.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) of SER data for circuit breaker position as specified in Requirement R2. Evidence may include, but is not limited to: (1) documents describing the device interconnections and configurations which may include a single design standard as representative for common installations; or (2) actual data recordings; or (3) station drawings.
- R3.** Each Transmission Owner and Generator Owner shall have FR data to determine the following electrical quantities for each triggered FR for the BES Elements it owns directly connected to the BES buses identified in Requirement R1: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
- 3.1.** Phase-to-neutral voltage for each phase of each specified BES bus.
- 3.2.** Each phase current and the residual or neutral current for the following BES Elements:
- 3.2.1.** Transformers that have a low-side operating voltage of 100 kV or above.
- 3.2.2.** Transmission Lines.
- M3.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) of FR data that is sufficient to determine electrical quantities as specified in Requirement R3. Evidence may include, but is not limited to: (1) documents describing the device specifications and configurations which may include a single design standard as representative for common installations; or (2) actual data recordings or derivations; or (3) station drawings.
- R4.** Each Transmission Owner and Generator Owner shall have FR data as specified in Requirement R3 that meets the following: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
- 4.1.** A single record or multiple records that include:
- A pre-trigger record length of at least two cycles and a total record length of at least 30 cycles for the same trigger point, or
  - At least two cycles of the pre-trigger data, the first three cycles of the post-trigger data, and the final cycle of the fault as seen by the fault recorder.
- 4.2.** A minimum recording rate of 16 samples per cycle.
- 4.3.** Trigger settings for at least the following:
- 4.3.1.** Neutral (residual) overcurrent.
- 4.3.2.** Phase undervoltage or overcurrent.

- M4.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) that FR data meets Requirement R4. Evidence may include, but is not limited to: (1) documents describing the device specification (R4, Part 4.2) and device configuration or settings (R4, Parts 4.1 and 4.3), or (2) actual data recordings or derivations.
- R5.** Each Reliability Coordinator shall: [*Violation Risk Factor: Lower*] [*Time Horizon: Long-term Planning*]
- 5.1.** Identify BES Elements for which dynamic Disturbance recording (DDR) data is required, including the following:
- 5.1.1.** Synchronous generating resource(s) with:
- 5.1.1.1.** Gross individual nameplate rating greater than or equal to 500 MVA.
- 5.1.1.2.** Gross individual nameplate rating greater than or equal to 300 MVA where the gross plant/facility aggregate nameplate rating is greater than or equal to 1,000 MVA.
- 5.1.2.** Any one BES Element that is part of a stability (angular or voltage) related System Operating Limit (SOL).
- 5.1.3.** Each terminal of a high voltage direct current (HVDC) circuit with a nameplate rating greater than or equal to 300 MVA, on the alternating current (AC) portion of the converter.
- 5.1.4.** One or more BES Elements that are part of an Interconnection Reliability Operating Limit (IROL).
- 5.1.5.** Any one BES Element within a major voltage sensitive area as defined by an area with an in-service undervoltage load shedding (UVLS) program.
- 5.2.** Identify a minimum DDR coverage, inclusive of those BES Elements identified in Part 5.1, of at least:
- 5.2.1.** One BES Element; and
- 5.2.2.** One BES Element per 3,000 MW of the Reliability Coordinator’s historical simultaneous peak System Demand.
- 5.3.** Notify all owners of identified BES Elements, within 90 calendar days of completion of Part 5.1, that their respective BES Elements require DDR data.
- 5.4.** Re-evaluate all BES Elements within its Reliability Coordinator Area at least once every five calendar years in accordance with Parts 5.1 and 5.2, and notify owners in accordance with Part 5.3.
- M5.** The Reliability Coordinator has a dated (electronic or hard copy) list of BES Elements for which DDR data is required, developed in accordance with Requirement R5, Part 5.1 and Part 5.2; and re-evaluated in accordance with Part 5.4. The Reliability Coordinator has dated evidence (electronic or hard copy) that each Transmission Owner or Generator Owner has been notified in accordance with Requirement 5, Part

- 5.3. Evidence may include, but is not limited to letters, emails, electronic files, or hard copy records demonstrating transmittal of information.
- R6.** Each Transmission Owner shall have DDR data to determine the following electrical quantities for each BES Element it owns for which it received notification as identified in Requirement R5: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
- 6.1.** One phase-to-neutral or positive sequence voltage.
  - 6.2.** The phase current for the same phase at the same voltage corresponding to the voltage in Requirement R6, Part 6.1, or the positive sequence current.
  - 6.3.** Real Power and Reactive Power flows expressed on a three-phase basis corresponding to all circuits where current measurements are required.
  - 6.4.** Frequency of any one of the voltage(s) in Requirement R6, Part 6.1.
- M6.** The Transmission Owner has evidence (electronic or hard copy) of DDR data to determine electrical quantities as specified in Requirement R6. Evidence may include, but is not limited to: (1) documents describing the device specifications and configurations, which may include a single design standard as representative for common installations; or (2) actual data recordings or derivations; or (3) station drawings.
- R7.** Each Generator Owner shall have DDR data to determine the following electrical quantities for each BES Element it owns for which it received notification as identified in Requirement R5: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
- 7.1.** One phase-to-neutral, phase-to-phase, or positive sequence voltage at either the generator step-up transformer (GSU) high-side or low-side voltage level.
  - 7.2.** The phase current for the same phase at the same voltage corresponding to the voltage in Requirement R7, Part 7.1, phase current(s) for any phase-to-phase voltages, or positive sequence current.
  - 7.3.** Real Power and Reactive Power flows expressed on a three-phase basis corresponding to all circuits where current measurements are required.
  - 7.4.** Frequency of at least one of the voltages in Requirement R7, Part 7.1.
- M7.** The Generator Owner has evidence (electronic or hard copy) of DDR data to determine electrical quantities as specified in Requirement R7. Evidence may include, but is not limited to: (1) documents describing the device specifications and configurations, which may include a single design standard as representative for common installations; or (2) actual data recordings or derivations; or (3) station drawings.
- R8.** Each Transmission Owner and Generator Owner responsible for DDR data for the BES Elements identified in Requirement R5 shall have continuous data recording and storage. If the equipment was installed prior to the effective date of the Reliability

Standard PRC-002-2<sup>3</sup> and is not capable of continuous recording, triggered records must meet the following: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*

**8.1.** Triggered record lengths of at least three minutes.

**8.2.** At least one of the following three triggers:

- Off nominal frequency trigger set at:

	Low	High
○ Eastern Interconnection	<59.75 Hz	>61.0 Hz
○ Western Interconnection	<59.55 Hz	>61.0 Hz
○ ERCOT Interconnection	<59.35 Hz	>61.0 Hz
○ Quebec Interconnection	<58.55 Hz	>61.5 Hz

- Rate of change of frequency trigger set at:

○ Eastern Interconnection	< -0.03125 Hz/sec	>0.125 Hz/sec
○ Western Interconnection	< -0.05625 Hz/sec	>0.125 Hz/sec
○ ERCOT Interconnection	< -0.08125 Hz/sec	>0.125 Hz/sec
○ Quebec Interconnection	< -0.18125 Hz/sec	>0.1875 Hz/sec

- Undervoltage trigger set no lower than 85 percent of normal operating voltage for a duration of 5 seconds.

**M8.** Each Transmission Owner and Generator Owner has dated evidence (electronic or hard copy) of data recordings and storage in accordance with Requirement R8. Evidence may include, but is not limited to: (1) documents describing the device specifications and configurations, which may include a single design standard as representative for common installations; or (2) actual data recordings.

**R9.** Each Transmission Owner and Generator Owner responsible for DDR data for the BES Elements identified in Requirement R5 shall have DDR data that meet the following: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*

**9.1.** Input sampling rate of at least 960 samples per second.

**9.2.** Output recording rate of electrical quantities of at least 30 times per second.

**M9.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) that DDR data meets Requirement R9. Evidence may include, but is not limited to: (1) documents describing the device specification, device configuration, or settings (R9, Part 9.1; R9, Part 9.2); or (2) actual data recordings (R9, Part 9.2).

**R10.** Each Transmission Owner and Generator Owner shall time synchronize all SER and FR data for the BES buses identified in Requirement R1 and DDR data for the BES

<sup>3</sup> The effective date of the Reliability Standard PRC-002-2 in the U.S. was July 1, 2016. The effective date may be different for other jurisdictions.

Elements identified in Requirement R5 to meet the following: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*

- 10.1.** Synchronization to Coordinated Universal Time (UTC) with or without a local time offset.
- 10.2.** Synchronized device clock accuracy within  $\pm 2$  milliseconds of UTC.
- M10.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) of time synchronization described in Requirement R10. Evidence may include, but is not limited to: (1) documents describing the device specification, configuration, or setting; (2) time synchronization indication or status; or (3) station drawings.
- R11.** Each Transmission Owner and Generator Owner shall provide, upon request, all SER and FR data for the BES buses identified in Requirement R1 and DDR data for the BES Elements identified in Requirement R5 to the Reliability Coordinator, Regional Entity, or NERC in accordance with the following: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*
  - 11.1.** Data will be retrievable for the period of 10 calendar days, inclusive of the day the data was recorded.
  - 11.2.** Data subject to Part 11.1 will be provided within 30 calendar days of a request unless an extension is granted by the requestor.
  - 11.3.** SER data will be provided in ASCII Comma Separated Value (CSV) format following Attachment 2.
  - 11.4.** FR data will be provided either in CSV format with appropriate headers or in electronic files that are formatted in conformance with C37.111, IEEE Standard Common Format for Transient Data Exchange (COMTRADE), revision C37.111-1999 or later.
  - 11.5.** DDR data will be provided either in CSV format with appropriate headers or in electronic files that are formatted in conformance with C37.111, IEEE Standard Common Format for Transient Data Exchange (COMTRADE), revision C37.111-1999 or later.
  - 11.6.** Data files will be named in conformance with C37.232, IEEE Standard for Common Format for Naming Time Sequence Data Files (COMNAME), revision C37.232-2011 or later.
- M11.** The Transmission Owner or Generator Owner has evidence (electronic or hard copy) that data was submitted upon request in accordance with Requirement R11. Evidence may include, but is not limited to: (1) dated transmittals to the requesting entity with formatted records; (2) documents describing data storage capability, device specification, configuration, or settings; or (3) actual data recordings.
- R12.** Each Transmission Owner and Generator Owner shall, upon the discovery of a failure of the recording capability for the SER, FR or DDR data: *[Violation Risk Factor: Lower] [Time Horizon: Long-term Planning]*

- Restore the recording capability within 90 calendar days, or
- Submit a Corrective Action Plan (CAP) to the Regional Entity within 90 calendar days and then implement it according to CAP timeline.

**M12.** The Transmission Owner or Generator Owner has dated evidence (electronic or hard copy) that meets Requirement R12. Evidence may include, but is not limited to: (1) dated reports of discovery of a failure, (2) documentation noting the date the data recording was restored, (3) SCADA records, or (4) dated CAP transmittals to the Regional Entity and evidence that it implemented the CAP.

**R13.** Each Transmission Owner and Generator Owner shall: *[Violation Risk Factor: Lower]*  
*[Time Horizon: Long-term Planning]*

**13.1.** Within three (3) calendar years of completing a re-evaluation or receiving notification under Requirement R1, Part 1.3, have SER or FR data as applicable for BES Elements directly connected to the identified BES buses.

**13.2.** Within three (3) calendar years of receiving notification under Requirement R5, Part 5.4, have DDR data for BES Elements identified during the re-evaluation.

**M13.** The Transmission Owner or Generator Owner has dated evidence (electronic or hard copy) that meets Requirement R13. Evidence may include, but is not limited to: letters, emails, drawings, or settings files.

## C. Compliance

### 1. Compliance Monitoring Process

**1.1. Compliance Enforcement Authority:** “Compliance Enforcement Authority” means NERC or the Regional Entity, or any entity as otherwise designated by an Applicable Governmental Authority, in their respective roles of monitoring and/or enforcing compliance with mandatory and enforceable Reliability Standards in their respective jurisdictions.

### 1.2. Evidence Retention:

The following evidence retention periods identify the period of time an entity is required to retain specific evidence to demonstrate compliance. For instances where the evidence retention period specified below is shorter than the time since the last audit, the Compliance Enforcement Authority may ask an entity to provide other evidence to show that it was compliant for the full time period since the last audit.

The Transmission Owner, Generator Owner, and Reliability Coordinator shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation:

The Transmission Owner shall retain evidence of Requirement R1, for five calendar years.

The Reliability Coordinator shall retain evidence of Requirement R5, for five calendar years.

The Transmission Owner shall retain evidence of Requirement R6, for three calendar years.

The Generator Owner shall retain evidence of Requirement R7, for three calendar years.

The Transmission Owner and Generator Owner shall retain evidence of Requirements R2, R3, R4, R8, R9, R10, R11, and R12, for three calendar years.

The Transmission Owner and Generator Owner as applicable shall retain evidence of Requirement R13, for five calendar years.

If a Transmission Owner, Generator Owner, or Reliability Coordinator is found non-compliant, it shall keep information related to the non-compliance until mitigation is completed and approved or for the time specified above, whichever is longer.

The Compliance Enforcement Authority shall keep the last audit records, and all requested and submitted subsequent audit records.

- 1.3. Compliance Monitoring and Enforcement Program:** As defined in the NERC Rules of Procedure, “Compliance Monitoring and Enforcement Program” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with associated Reliability Standard.



## Violation Severity Levels

R #	Violation Severity Levels			
	Lower VSL	Moderate VSL	High VSL	Severe VSL
<b>R1</b>	<p>The Transmission Owner identified the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3 for more than 80 percent, but less than 100 percent of the required BES buses that they own.</p> <p>OR</p> <p>The Transmission Owner evaluated the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3, but was late by 30 calendar days or less.</p> <p>OR</p> <p>The Transmission Owner as directed by Requirement R1, Part 1.2 was late in notifying the other owners that their BES Elements require SER or FR data by 10 calendar days or less.</p>	<p>The Transmission Owner identified the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3 for more than 70 percent, but less than or equal to 80 percent of the required BES buses that they own.</p> <p>OR</p> <p>The Transmission Owner evaluated the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3, but was late by greater than 30 calendar days and less than or equal to 60 calendar days.</p> <p>OR</p> <p>The Transmission Owner as directed by Requirement R1, Part 1.2 was late in notifying the other owners that their BES Elements require SER or FR data by greater than 10 calendar</p>	<p>The Transmission Owner identified the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3 for more than 60 percent, but less than or equal to 70 percent of the required BES buses that they own.</p> <p>OR</p> <p>The Transmission Owner evaluated the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3, but was late by greater than 60 calendar days and less than or equal to 90 calendar days.</p> <p>OR</p> <p>The Transmission Owner as directed by Requirement R1, Part 1.2 was late in notifying the other owners that their BES Elements require SER or FR data by greater than</p>	<p>The Transmission Owner identified the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3 for less than or equal to 60 percent of the required BES buses that they own.</p> <p>OR</p> <p>The Transmission Owner evaluated the BES buses as directed by Requirement R1, Part 1.1 or Part 1.3, but was late by greater than 90 calendar days.</p> <p>OR</p> <p>The Transmission Owner as directed by Requirement R1, Part 1.2 was late in notifying one or more other owners that their BES Elements require SER or FR data by greater than 30 calendar days.</p>

		days, but less than or equal to 20 calendar days.	20 calendar days, but less than or equal to 30 calendar days.	
<b>R2</b>	Each Transmission Owner or Generator Owner as directed by Requirement R2 had more than 80 percent, but less than 100 percent of the total SER data for circuit breaker position (open/close) for each of the circuit breakers at the BES buses identified in Requirement R1.	Each Transmission Owner or Generator Owner as directed by Requirement R2 had more than 70 percent, but less than or equal to 80 percent of the total SER data for circuit breaker position (open/close) for each of the circuit breakers at the BES buses identified in Requirement R1.	Each Transmission Owner or Generator Owner as directed by Requirement R2 had more than 60 percent but less than or equal to 70 percent of the total SER data for circuit breaker position (open/close) for each of the circuit breakers at the BES buses identified in Requirement R1.	Each Transmission Owner or Generator Owner as directed by Requirement R2 for less than or equal to 60 percent of the total SER data for circuit breaker position (open/close) for each of the circuit breakers at the BES buses identified in Requirement R1.
<b>R3</b>	The Transmission Owner or Generator Owner had FR data as directed by Requirement R3, Parts 3.1 and 3.2 that covers more than 80 percent, but less than 100 percent of the total required electrical quantities, which is the product of the total number of monitored BES Elements and the number of specified electrical	The Transmission Owner or Generator Owner had FR data as directed by Requirement R3, Parts 3.1 and 3.2 that covers more than 70 percent, but less than or equal to 80 percent of the total required electrical quantities, which is the product of the total number of monitored BES Elements and the number of specified electrical	The Transmission Owner or Generator Owner had FR data as directed by Requirement R3, Parts 3.1 and 3.2 that covers more than 60 percent, but less than or equal to 70 percent of the total required electrical quantities, which is the product of the total number of monitored BES Elements and the number of specified electrical	The Transmission Owner or Generator Owner had FR data as directed by Requirement R3, Parts 3.1 and 3.2 that covers less than or equal to 60 percent of the total required electrical quantities, which is the product of the total number of monitored BES Elements and the number of specified electrical

	quantities for each BES Element.	quantities for each BES Element.	quantities for each BES Element.	quantities for each BES Element.
<b>R4</b>	The Transmission Owner or Generator Owner had FR data that meets more than 80 percent, but less than 100 percent of the total recording parameters as specified in Requirement R4.	The Transmission Owner or Generator Owner had FR data that meets more than 70 percent, but less than or equal to 80 percent of the total recording parameters as specified in Requirement R4.	The Transmission Owner or Generator Owner had FR data that meets more than 60 percent, but less than or equal to 70 percent of the total recording parameters as specified in Requirement R4.	The Transmission Owner or Generator Owner had FR data that meets less than or equal to 60 percent of the total recording parameters as specified in Requirement R4.
<b>R5</b>	<p>The Reliability Coordinator identified the BES Elements for which DDR data is required as directed by Requirement R5 for more than 80 percent, but less than 100 percent of the required BES Elements included in Part 5.1.</p> <p>OR</p> <p>The Reliability Coordinator identified the BES Elements for DDR as directed by Requirement R5, Part 5.1 or Part 5.4, but was late by 30 calendar days or less.</p>	<p>The Reliability Coordinator identified the BES Elements for which DDR data is required as directed by Requirement R5 for more than 70 percent, but less than or equal to 80 percent of the required BES Elements included in Part 5.1.</p> <p>OR</p> <p>The Reliability Coordinator identified the BES Elements for DDR as directed by Requirement R5, Part 5.1 or Part 5.4, but was late by greater than 30 calendar</p>	<p>The Reliability Coordinator identified the BES Elements for which DDR data is required as directed by Requirement R5 for more than 60 percent, but less than or equal to 70 percent of the required BES Elements included in Part 5.1.</p> <p>OR</p> <p>The Reliability Coordinator identified the BES Elements for DDR as directed by Requirement R5, Part 5.1 or Part 5.4, but was late by greater than 60 calendar days and less</p>	<p>The Reliability Coordinator identified the BES Elements for which DDR data is required as directed by Requirement R5 for less than or equal to 60 percent of the required BES Elements included in Part 5.1.</p> <p>OR</p> <p>The Reliability Coordinator identified the BES Elements for DDR as directed by Requirement R5, Part 5.1 or Part 5.4, but was late by greater than 90 calendar days.</p>

	<p>OR</p> <p>The Reliability Coordinator as directed by Requirement R5, Part 5.3 was late in notifying the owners that their BES Elements require DDR data by 10 calendar days or less.</p>	<p>days and less than or equal to 60 calendar days.</p> <p>OR</p> <p>The Reliability Coordinator as directed by Requirement R5, Part 5.3 was late in notifying the owners that their BES Elements require DDR data by greater than 10 calendar days, but less than or equal to 20 calendar days.</p>	<p>than or equal to 90 calendar days.</p> <p>OR</p> <p>The Reliability Coordinator as directed by Requirement R5, Part 5.3 was late in notifying the owners that their BES Elements require DDR data by greater than 20 calendar days, but less than or equal to 30 calendar days.</p>	<p>OR</p> <p>The Reliability Coordinator as directed by Requirement R5, Part 5.3 was late in notifying one or more owners that their BES Elements require DDR data by greater than 30 calendar days.</p> <p>OR</p> <p>The Reliability Coordinator failed to ensure a minimum DDR coverage per Part 5.2.</p>
<b>R6</b>	<p>The Transmission Owner had DDR data as directed by Requirement R6, Parts 6.1 through 6.4 that covered more than 80 percent, but less than 100 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Transmission Owner had DDR data as directed by Requirement R6, Parts 6.1 through 6.4 for more than 70 percent, but less than or equal to 80 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Transmission Owner had DDR data as directed by Requirement R6, Parts 6.1 through 6.4 for more than 60 percent, but less than or equal to 70 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Transmission Owner had DDR data as directed by Requirement R6, Parts 6.1 through 6.4 for less than 60 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>

<p><b>R7</b></p>	<p>The Generator Owner had DDR data as directed by Requirement R7, Parts 7.1 through 7.4 that covers more than 80 percent, but less than 100 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Generator Owner had DDR data as directed by Requirement R7, Parts 7.1 through 7.4 for more than 70 percent, but less than or equal to 80 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Generator Owner had DDR data as directed by Requirement R7, Parts 7.1 through 7.4 for more than 60 percent, but less than or equal to 70 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>	<p>The Generator Owner had DDR data as directed by Requirement R7, Parts 7.1 through 7.4 for less than 60 percent of the total required electrical quantities, which is the product of the total number of applicable BES Elements and the number of specified electrical quantities for each applicable BES Element.</p>
<p><b>R8</b></p>	<p>The Transmission Owner or Generator Owner had continuous or non-continuous DDR data, as directed in Requirement R8, for more than 80 percent, but less than 100 percent of the BES Elements they own as determined in Requirement R5.</p>	<p>The Transmission Owner or Generator Owner had continuous or non-continuous DDR data, as directed in Requirement R8, for more than 70 percent, but less than or equal to 80 percent of the BES Elements they own as determined in Requirement R5.</p>	<p>The Transmission Owner or Generator Owner had continuous or non-continuous DDR data, as directed in Requirement R8, for more than 60 percent, but less than or equal to 70 percent of the BES Elements they own as determined in Requirement R5.</p>	<p>The Transmission Owner or Generator Owner failed to have continuous or non-continuous DDR data, as directed in Requirement R8, for the BES Elements they own as determined in Requirement R5.</p>
<p><b>R9</b></p>	<p>The Transmission Owner or Generator Owner had DDR data that meets more than 80 percent, but less</p>	<p>The Transmission Owner or Generator Owner had DDR data that meets more than 70 percent, but less than or</p>	<p>The Transmission Owner or Generator Owner had DDR data that meets more than 60 percent, but less</p>	<p>The Transmission Owner or Generator Owner had DDR data that meets less than or equal to 60</p>

	than 100 percent of the total recording properties as specified in Requirement R9.	equal to 80 percent of the total recording properties as specified in Requirement R9.	than or equal to 70 percent of the total recording properties as specified in Requirement R9.	percent of the total recording properties as specified in Requirement R9.
<b>R10</b>	The Transmission Owner or Generator Owner had time synchronization per Requirement R10, Parts 10.1 and 10.2 for SER, FR, and DDR data for more than 90 percent, but less than 100 percent of the BES buses identified in Requirement R1 and BES Elements identified in Requirement R5 as directed by Requirement R10.	The Transmission Owner or Generator Owner had time synchronization per Requirement R10, Parts 10.1 and 10.2 for SER, FR, and DDR data for more than 80 percent, but less than or equal to 90 percent of the BES buses identified in Requirement R1 and BES Elements identified in Requirement R5 as directed by Requirement R10.	The Transmission Owner or Generator Owner had time synchronization per Requirement R10, Parts 10.1 and 10.2 for SER, FR, and DDR data for more than 70 percent, but less than or equal to 80 percent of the BES buses identified in Requirement R1 and BES Elements identified in Requirement R5 as directed by Requirement R10.	The Transmission Owner or Generator Owner failed to have time synchronization per Requirement R10, Parts 10.1 and 10.2 for SER, FR, and DDR data for less than or equal to 70 percent of the BES buses identified in Requirement R1 and BES Elements identified in Requirement R5 as directed by Requirement R10.
<b>R11</b>	The Transmission Owner or Generator Owner as directed by Requirement R11, Part 11.2 provided the requested data more than one to 10 calendar days late.  OR The Transmission Owner or Generator Owner as	The Transmission Owner or Generator Owner as directed by Requirement R11, Part 11.2 provided the requested data more than 11 to 20 calendar days late.  OR The Transmission Owner or Generator Owner as directed by Requirement	The Transmission Owner or Generator Owner as directed by Requirement R11, Part 11.2 provided the requested data more than 21 to 30 calendar days late.  OR The Transmission Owner or Generator Owner as	The Transmission Owner or Generator Owner as directed by Requirement R11, Part 11.2 provided the requested data more than 30 calendar days late.  OR The Transmission Owner or Generator Owner as directed by Requirement

	<p>directed by Requirement R11 provided more than 90 percent, but less than 100 percent of the requested data.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner as directed by Requirement R11, Parts 11.3 through 11.6 provided more than 90 percent of the data, but less than 100 percent of the data in the proper data format.</p>	<p>R11 provided more than 80 percent, but less than or equal to 90 percent of the requested data.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner as directed by Requirement R11, Parts 11.3 through 11.6 provided more than 80 percent of the data, but less than or equal to 90 percent of the data in the proper data format.</p>	<p>directed by Requirement R11 provided more than 70 percent, but less than or equal to 80 percent of the requested data.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner as directed by Requirement R11, Parts 11.3 through 11.6 provided more than 70 percent of the data, but less than or equal to 80 percent of the data in the proper data format.</p>	<p>R11 failed to provide less than or equal to 70 percent of the requested data.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner as directed by Requirement R11, Parts 11.3 through 11.6 provided less than or equal to 70 percent of the data in the proper data format.</p>
<b>R12</b>	<p>The Transmission Owner or Generator Owner as directed by Requirement R12 reported a failure and provided a Corrective Action Plan to the Regional Entity more than 90 calendar days, but less than or equal to 100 calendar days after discovery of the failure.</p>	<p>The Transmission Owner or Generator Owner as directed by Requirement R12 reported a failure and provided a Corrective Action Plan to the Regional Entity more than 100 calendar days, but less than or equal to 110 calendar days after discovery of the failure.</p>	<p>The Transmission Owner or Generator Owner as directed by Requirement R12 reported a failure and provided a Corrective Action Plan to the Regional Entity more than 110 calendar days, but less than or equal to 120 calendar days after discovery of the failure.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner as</p>	<p>The Transmission Owner or Generator Owner as directed by Requirement R12 failed to report a failure and provide a Corrective Action Plan to the Regional Entity more than 120 calendar days after discovery of the failure.</p> <p>OR</p> <p>Transmission Owner or Generator Owner as directed by Requirement</p>

			directed by Requirement R12 submitted a CAP to the Regional Entity but failed to implement it.	R12 failed to restore the recording capability and failed to submit a CAP to the Regional Entity.
<b>R13</b>		<p>The Transmission Owner or Generator Owner had data, as applicable, for the BES buses identified during the re-evaluation per Requirement R1, Part 1.3 and was late by less than or equal to 6 months.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner had data, as applicable, for the BES Elements identified during the re-evaluation per Requirement R5, Part 5.4 and was late by less than or equal to 6 months.</p>	<p>The Transmission Owner or Generator Owner had data, as applicable, for the BES buses identified during the re-evaluation per Requirement R1, Part 1.3 and was late by greater than 6 months but less than or equal to 12 months.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner had data, as applicable, for the BES Elements identified during the re-evaluation per Requirement R5, Part 5.4 and was late by greater than 6 months but less than or equal to 12 months.</p>	<p>The Transmission Owner or Generator Owner had data, as applicable, for the BES buses identified during the re-evaluation per Requirement R1, Part 1.3 and was late by greater than 12 months.</p> <p>OR</p> <p>The Transmission Owner or Generator Owner had data, as applicable, for the BES Elements identified during the re-evaluation per Requirement R5, Part 5.4 and was late by greater than 12 months.</p>



## **D. Regional Variances**

None.

## **E. Interpretations**

None.

## **F. Associated Documents**

NERC Reliability Standard PRC-002-5: Implementation Plan.

## **G. References**

IEEE C37.111: Common format for transient data exchange (COMTRADE) for power Systems.

IEEE C37.232-2011, IEEE Standard for Common Format for Naming Time Sequence Data Files (COMNAME). Standard published 11/09/2011 by IEEE.

NERC Reliability Standard PRC-002-5: Technical Rationale.

NPCC SP6 Report Synchronized Event Data Reporting, revised March 31, 2005.

U.S.-Canada Power System Outage Task Force, Final Report on the August 14, 2003, Blackout in the United States and Canada: Causes and Recommendations (2004).

U.S.-Canada Power System Outage Task Force Interim Report: Causes of the August 14th Blackout in the United States and Canada (Nov. 2003).

## Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by NERC Board of Trustees	New
1	August 2, 2006	Adopted by NERC Board of Trustees	Revised
2	November 13, 2014	Adopted by NERC Board of Trustees	Revised under Project 2007-11 and merged with PRC-018-1.
2	September 24, 2015	FERC approved PRC-005-4. Docket No. RM15-4-000; Order No. 814	
3	May 13, 2021	Adopted by NERC Board of Trustees	Revised
4	February 16, 2023	Adopted by NERC Board of Trustees	Revised under Project 2021-04
4	April 14, 2023	FERC Oder Approving PRC-002-4 Docket No. RD23-4-000.	
4	April 14, 2023	Effective Date	April 1, 2024
5	TBD	TBD	Revised under Project 2021-04

## Attachment 1

### Methodology for Selecting Buses for Capturing Sequence of Events Recording (SER) and Fault Recording (FR) Data

#### (Requirement R1)

To identify monitored Bulk Electric System (BES) buses for SER and FR data required by Requirement 1, each Transmission Owner shall follow sequentially, unless otherwise noted, the steps listed below:

- Step 1. Determine a complete list of BES buses that it owns. Refer to section 4.2 Facilities for exclusion.

For the purposes of this standard, a single BES bus includes physical buses with breakers connected at the same voltage level within the same physical location sharing a common ground grid. These buses may be modeled or represented by a single node in fault studies. For example, ring bus or breaker-and-a-half bus configurations are considered to be a single bus.

- Step 2. Reduce the list to those BES buses that have a maximum available calculated three-phase short circuit MVA of 1,500 MVA or greater. If there are no buses on the resulting list, proceed to Step 7.

- Step 3. Determine the 11 BES buses on the list with the highest maximum available calculated three-phase short circuit MVA level. If the list has 11 or fewer buses, proceed to Step 7.

- Step 4. Calculate the median MVA level of the 11 BES buses determined in Step 3.

- Step 5. Multiply the median MVA level determined in Step 4 by 20 percent.

- Step 6. Reduce the BES buses on the list to only those that have a maximum available calculated three-phase short circuit MVA higher than the greater of:

- 1,500 MVA or
- 20 percent of median MVA level determined in Step 5.

- Step 7. If there are no BES buses on the list: the procedure is complete, and no FR and SER data will be required. Proceed to Step 9.

If the list has 1 or more but less than or equal to 11 BES buses: FR and SER data is required at the BES bus with the highest maximum available calculated three-phase short circuit MVA as determined in Step 3.

During re-evaluation per Requirement R1, Part 1.3, if the three-phase short circuit MVA of the newly identified BES bus is within 15% of the three-phase short circuit MVA of the currently applicable BES bus with SER and FR data then it is not necessary to change the applicable BES bus. Proceed to Step 9.

If the list has more than 11 BES buses: SER and FR data is required on at least the 10 percent of the BES buses determined in Step 6 with the highest maximum

available calculated three-phase short circuit MVA. Proceed to Step 8.

- Step 8. SER and FR data is required at additional BES buses on the list determined in Step 6. The aggregate of the number of BES buses determined in Step 7 and this Step will be at least 20 percent of the BES buses determined in Step 6.

The additional BES buses are selected, at the Transmission Owner's discretion, to provide maximum wide-area coverage for SER and FR data. The following BES bus locations are recommended:

- Electrically distant buses or electrically distant from other Disturbance Monitoring Equipment (DME) devices.
- Voltage sensitive areas.
- Cohesive load and generation zones.
- BES buses with a relatively high number of incident Transmission circuits.
- BES buses with reactive power devices.
- Major Facilities interconnecting outside the Transmission Owner's area.

- Step 9. The list of monitored BES buses for SER and FR data for Requirement R1 is the aggregate of the BES buses determined in Steps 7 and 8.

## Attachment 2

### Sequence of Events Recording (SER) Data Format (Requirement R11, Part 11.3)

Date, Time, Local Time Code, Substation, Device, State<sup>4</sup>

08/27/13, 23:58:57.110, -5, Sub 1, Breaker 1, Close

08/27/13, 23:58:57.082, -5, Sub 2, Breaker 2, Close

08/27/13, 23:58:47.217, -5, Sub 1, Breaker 1, Open

08/27/13, 23:58:47.214, -5, Sub 2, Breaker 2, Open

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<sup>4</sup> "OPEN" and "CLOSE" are used as examples. Other terminology such as TRIP, TRIP TO LOCKOUT, RECLOSE, etc. is also acceptable.

### High Level Requirement Overview

Requirement	Entity	Identify BES Buses	Notification	SER	FR	5 Year Re-evaluation
R1	TO	X	X	X	X	X
R2	TO   GO			X		
R3	TO   GO				X	
R4	TO   GO				X	
Requirement	Entity	Identify BES Elements	Notification	DDR	5 Year Re-evaluation	
R5	RC	X	X	X	X	
R6	TO			X		
R7	GO			X		
R8	TO   GO			X		
R9	TO   GO			X		
Requirement	Entity	Time Synchronization	Provide SER, FR, DDR Data		SER, FR, DDR Availability	
R10	TO   GO	X				
R11	TO   GO		X			
R12	TO   GO				X	
Requirement	Entity	Implementation				
R13	TO   GO	X				