

**A. Introduction**

- 1. Title:**           **Notifications and Information Exchange Between Reliability Coordinators**
- 2. Number:**       **IRO-015-1**
- 3. Purpose:**       To ensure that each Reliability Coordinator's operations are coordinated such that they will not have an Adverse Reliability Impact on other Reliability Coordinator Areas and to preserve the reliability benefits of interconnected operations.
- 4. Applicability**
  - 4.1. Reliability Coordinators**
- 5. Effective Date:**     November 1, 2006

**B. Requirements**

- R1.** The Reliability Coordinator shall follow its Operating Procedures, Processes, or Plans for making notifications and exchanging reliability-related information with other Reliability Coordinators.
  - R1.1.** The Reliability Coordinator shall make notifications to other Reliability Coordinators of conditions in its Reliability Coordinator Area that may impact other Reliability Coordinator Areas.
- R2.** The Reliability Coordinator shall participate in agreed upon conference calls and other communication forums with adjacent Reliability Coordinators.
  - R2.1.** The frequency of these conference calls shall be agreed upon by all involved Reliability Coordinators and shall be at least weekly.
- R3.** The Reliability Coordinator shall provide reliability-related information as requested by other Reliability Coordinators.

**C. Measures**

- M1.** The Reliability Coordinator shall have evidence (such as operator logs or other data sources) it has followed its Operating Procedures, Processes, or Plans for notifying other Reliability Coordinators of conditions in its Reliability Coordinator Area that may impact other Reliability Coordinator Areas.
- M2.** The Reliability Coordinator shall have evidence (such as operator logs or other data sources) that it participated in agreed upon (at least weekly) conference calls and other communication forums with adjacent Reliability Coordinators.
- M3.** The Reliability Coordinator shall have evidence that it provided requested reliability-related information to other Reliability Coordinators.

**D. Compliance**

- 1. Compliance Monitoring Process**
  - 1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization

**1.2. Compliance Monitoring Period and Reset Time Frame**

The Performance Reset Period shall be one calendar year.

**1.3. Data Retention**

The Reliability Coordinator shall keep auditable documentation for a rolling 12 months. The Compliance Monitor shall keep compliance data for a minimum of three years or until the Reliability Coordinator has achieved full compliance — whichever is longer.

**1.4. Additional Compliance Information**

The Reliability Coordinator shall demonstrate compliance through self-certification submitted to its Compliance Monitor annually. The Compliance Monitor shall also use a scheduled on-site review at least once every three years and investigations upon complaint. The Compliance Monitor shall conduct an investigation upon a complaint within 30 days of the alleged infraction’s discovery date. The Compliance Monitor shall complete the investigation within 45 days after the start of the investigation. As part of an audit or an investigation, the Compliance Monitor shall interview other Reliability Coordinators within the Interconnection and verify that the Reliability Coordinator being audited or investigated has been making notifications and exchanging reliability-related information according to agreed Operating Procedures, Processes, or Plans.

The Reliability Coordinator shall have the following available for its Compliance Monitor to inspect during a scheduled, on-site review or within five days of a request as part of an investigation upon complaint:

**1.4.1** Evidence it has participated in agreed-upon conference calls or other communications forums.

**1.4.2** Operating logs or other data sources that document notifications made to other Reliability Coordinators.

**2. Levels of Non-Compliance**

- 2.1. Level 1:** Did not participate in agreed upon (at least weekly) conference calls and other communication forums with adjacent Reliability Coordinators.
- 2.2. Level 2:** Did not notify other Reliability Coordinators as specified in its Operating Procedures, Processes, or Plans for making notifications but no Adverse Reliability Impacts resulted from the incident.
- 2.3. Level 3:** Did not provide requested reliability-related information to other Reliability Coordinators.
- 2.4. Level 4:** Did not notify other Reliability Coordinators as specified in its Operating Procedures, Processes, or Plans for making notifications and Adverse Reliability Impacts resulted from the incident.

**E. Regional Differences**

None Identified.

**Version History**

Version	Date	Action	Change Tracking
Version 1	08/10/05	1. Changed incorrect use of certain hyphens (-) to “en dash (-).”	01/20/06

**Standard IRO-015-1 — Notifications and Information Exchange Between Reliability Coordinators**

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		<ol style="list-style-type: none"><li>2. Hyphenated “30-day” and reliability-related when used as adjective.</li><li>3. Changed standard header to be consistent with standard “Title.”</li><li>4. Added “periods” to items where appropriate.</li><li>5. Initial capped heading “Definitions of Terms Used in Standard.”</li><li>6. Changed “Timeframe” to “Time Frame” in item D, 1.2.</li><li>7. Lower cased all words that are not “defined” terms — drafting team, and self-certification.</li><li>8. Changed apostrophes to “smart” symbols.</li><li>9. Added comma in all word strings “Procedures, Processes, or Plans,” etc.</li><li>10. Added hyphens to “Reliability Coordinator-to-Reliability Coordinator” where used as adjective.</li><li>11. Removed comma in item 2.1.2.</li><li>12. Removed extra spaces between words where appropriate.</li></ol>	
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