

### A. Introduction

1. **Title:** Coordination of Plans For New Generation, Transmission, and End-User Facilities
2. **Number:** FAC-002-1
3. **Purpose:** To avoid adverse impacts on reliability, Generator Owners and Transmission Owners and electricity end-users must meet facility connection and performance requirements.
4. **Applicability:**
  - 4.1. Generator Owner
  - 4.2. Transmission Owner
  - 4.3. Distribution Provider
  - 4.4. Load-Serving Entity
  - 4.5. Transmission Planner
  - 4.6. Planning Authority
5. **(Proposed) Effective Date:** The first day of the first calendar quarter six months after applicable regulatory approval; or in those jurisdictions where no regulatory approval is required, the first day of the first calendar quarter six months after Board of Trustees' adoption.

### B. Requirements

- R1. The Generator Owner, Transmission Owner, Distribution Provider, and Load-Serving Entity seeking to integrate generation facilities, transmission facilities, and electricity end-user facilities shall each coordinate and cooperate on its assessments with its Transmission Planner and Planning Authority. The assessment shall include:
  - 1.1. Evaluation of the reliability impact of the new facilities and their connections on the interconnected transmission systems.
  - 1.2. Ensurance of compliance with NERC Reliability Standards and applicable Regional, subregional, Power Pool, and individual system planning criteria and facility connection requirements.
  - 1.3. Evidence that the parties involved in the assessment have coordinated and cooperated on the assessment of the reliability impacts of new facilities on the interconnected transmission systems. While these studies may be performed independently, the results shall be jointly evaluated and coordinated by the entities involved.
  - 1.4. Evidence that the assessment included steady-state, short-circuit, and dynamics studies as necessary to evaluate system performance under both normal and contingency conditions in accordance with Reliability Standards TPL-001-0, TPL-002-0, and TPL-003-0.
  - 1.5. Documentation that the assessment included study assumptions, system performance, alternatives considered, and jointly coordinated recommendations.
- R2. The Planning Authority, Transmission Planner, Generator Owner, Transmission Owner, Load-Serving Entity, and Distribution Provider shall each retain its documentation (of its evaluation of the reliability impact of the new facilities and their connections on the interconnected transmission systems) for three years and shall provide the documentation to the Regional

Reliability Organization(s) and NERC on request (within 30 calendar days). (Retirement approved by FERC effective January 21, 2014.)

**C. Measures**

- M1.** The Planning Authority, Transmission Planner, Generator Owner, Transmission Owner, Load-Serving Entity, and Distribution Provider’s documentation of its assessment of the reliability impacts of new facilities shall address all items in Reliability Standard FAC-002-0\_R1.
- M2.** The Planning Authority, Transmission Planner, Generator Owner, Transmission Owner, Load-Serving Entity, and Distribution Provider shall each have evidence of its assessment of the reliability impacts of new facilities and their connections on the interconnected transmission systems is retained and provided to other entities in accordance with Reliability Standard FAC-002-0\_R2. (Retirement approved by FERC effective January 21, 2014.)

**D. Compliance**

**1. Compliance Monitoring Process**

- 1.1. Compliance Enforcement Authority**  
Regional Entity.
- 1.2. Compliance Monitoring Period and Reset Timeframe**  
Not applicable.
- 1.3. Compliance Monitoring and Enforcement Processes:**  
Compliance Audits  
Self-Certifications  
Spot Checking  
Compliance Violation Investigations  
Self-Reporting  
Complaints
- 1.4. Data Retention**  
Evidence of the assessment of the reliability impacts of new facilities and their connections on the interconnected transmission systems: Three years.
- 1.5. Additional Compliance Information**  
None

**2. Violation Severity Levels (no changes)**

**E. Regional Differences**

- 1. None identified.

**Version History**

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	January 13, 2006	Removed duplication of “Regional Reliability Organizations(s).	Errata
1	August 5, 2010	Modified to address Order No. 693 Directives	Revised.

## Standard FAC-002-1 — Coordination of Plans for New Facilities

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		contained in paragraph 693. Adopted by the NERC Board of Trustees.	
1	February 7, 2013	R2 and associated elements approved by NERC Board of Trustees for retirement as part of the Paragraph 81 project (Project 2013-02) pending applicable regulatory approval.	
1	November 21, 2013	R2 and associated elements approved by FERC for retirement as part of the Paragraph 81 project (Project 2013-02)	