

2020 Approved Audit Plan

Internal Audit and Corporate Risk Management Department

Approved: August 11, 2020

2020 Approved Internal Audit Plan	
Strategic, Corporate, Operational and Compliance Areas	Finance and Accounting Areas
CRISP Data Handling Audit*	Board of Trustees and NERC Employee Expense Audit**
NERC Standards Process Audit (CCC Audit)*	
NERC Compliance Monitoring and Enforcement and Organization Registration and Certification Self-certification Review***	
E-ISAC Portal Data Integrity and Access Control Audit	
NERC Business Continuity Critical Areas Audit	

* Required by contract or other agreement.

** Finance and Accounting audits as approved by the Finance and Audit Committee.

*** Audit selected to provide coverage of NERC or Regional adherence to the Rules of Procedure, or other periodic compliance matter.

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