

## Standard Development Timeline

This section is maintained by the drafting team during the development of the standard and will be removed when the standard is adopted by the NERC Board of Trustees (Board).

### Description of Current Draft

This is the 45-day formal comment period with additional ballot.

Completed Actions	Date
Standards Committee approved Standard Authorization Request (SAR) for posting	June 15, 2022
SAR posted for comment	June 22, 2022 – July 21, 2022
45-day formal comment period with initial ballot	January 25, 2024 – March 11, 2024

Anticipated Actions	Date
45-day formal comment period with additional ballot	May 7 – June 20, 2024
10-day final ballot	November 25 – December 4, 2024
Board adoption	December 13, 2024

## New or Modified Term(s) Used in NERC Reliability Standards

This section includes all new or modified terms used in the proposed standard that will be included in the *Glossary of Terms Used in NERC Reliability Standards* upon applicable regulatory approval. Terms used in the proposed standard that are already defined and are not being modified can be found in the *Glossary of Terms Used in NERC Reliability Standards*. The new or revised terms listed below will be presented for approval with the proposed standard. Upon Board adoption, this section will be removed.

### Term(s):

**Energy Reliability Assessment (ERA)** — Evaluation of the resources ~~that to reliably~~ supply ~~electrical energy~~ the Electrical Energy required to serve Demand and ~~ancillary services to provide~~ Operating Reserves for the Bulk Power System ~~to reliably meet the expected demand during~~ the throughout the associated ~~time~~ evaluation period. ~~ERAs account for the impact of actions that occur sequentially throughout the assessment period, including the depletion and replenishment of finite upstream resources (e.g., fuel).~~

## A. Introduction

1. **Title:** Near-term Energy Reliability Assessments
2. **Number:** BAL-007-1
3. **Purpose:** To ~~assess and mitigate~~ the risks ~~of energy emergencies associated with~~ Energy Emergencies in the ~~operations planning near-term~~ time horizon ~~by analyzing~~ and take appropriate actions to address identified risk. As the expected resource mix availability Bulk-Power System becomes more reliant upon energy-constrained and the expected availability of fuel during the study period. variable resources, traditional capacity-based planning methods and strategies might not identify energy-related risks to reliable System operation.
4. **Applicability:**
  - 4.1. **Functional Entities:**
    - 4.1.1. Balancing Authority
    - 4.1.2. Reliability Coordinator
5. **Effective Date:** See Implementation Plan for BAL-007-1.
6. ~~**Background:** See Project 2022-03 project page~~

## B. Requirements and Measures

- R1. Each Balancing Authority shall document and maintain a ~~Reliability Coordinator-reviewed~~ process for conducting Energy Reliability ~~Assessment~~ Assessments (ERA) ~~process, which shall be reviewed at least annually and updated, if necessary. The ERA process document shall:~~ for the near-term time horizon. [*Violation Risk Factor: Medium*] [*Time Horizon: Operations Planning*]
- ~~1.1. Identify the frequency and~~ The near-term ERA must have a duration of the ERAs with a corresponding rationale for each following time horizons:
- ~~1.1.1. Near term; and~~
- ~~1.2.1.1. The end of the near-term assessment period shall be greater than~~ between five days and less than six weeks from the start of the assessment, and begin no later than two days after the present operating day.
- ~~1.2.1.1. Each subsequent near-term assessment period shall partially overlap the previous~~ The frequency of near-term assessment period.
- ~~1.2.2. Seasonal;~~
- ~~1.3.1.2. Seasonal ERAs shall be performed for a minimum of two seasons~~ ERA must be at intervals that is representative of seasonal risks for operations ensure all time periods are covered by a near-term ERA.
- ~~1.3.1.1. Document a deadline for completing each seasonal ERA based on mitigation options for each seasonal ERA.~~
- ~~1.3. include a~~ The ERA process for near-term ERAs must account for the following:
- ~~1.3.1. Forecasted or assumed Demand profiles;~~
- ~~1.3.2. Resource capabilities and operations, including depletion of fuel, variable energy resources (e.g., wind, solar, and hydro), energy transfers between neighboring Balancing Authorities, and electric storage; and~~
- ~~1.3.3. Transmission constraints that limit the ability of generation to deliver their output to load.~~
- ~~1.4. The ERA process for the development of the base case that includes, but is not limited to,~~ near-term ERAs shall include the following up-to-date data:
- ~~1.4.1. Time series demand;~~
- ~~1.4.2. Demand response, as appropriate;~~
- ~~1.4.3. Generator capability considering known constraints of:~~
- ~~1.4.3.1. Availability, including planned outages, and flexibility;~~
- ~~1.4.3.2. Fuel supply and inventory concerns;~~

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~~1.4.3.3. Fuel switching capabilities; and~~

~~1.4.3.4. Environmental constraints.~~

~~1.4.4. Documented energy transfer assumptions; and~~

~~1.4.5. Energy storage capability.~~

~~1.5. Include a documented rationale for each of the base case elements chosen in Requirement R1.2.~~

~~M1. Each Balancing Authority shall have evidence of a process document and maintained in accordance with Requirement R1.~~

### Parts

~~Each Balancing Authority shall develop, document, and maintain a set of Reliability Coordinator reviewed ERA scenarios for both the near term and seasonal time horizons, as follows: [Violation Risk Factor: Medium] [Time Horizon: Operations Planning]~~

~~1.6. Each set of ERA scenarios shall include:~~

~~1.6.1. Projected system load for the interval being studied with system normal (no contingency) conditions;~~

~~1.6.2. Projected system load for the interval being studied with an energy contingency as described in Attachment 1;~~

~~1.6.3. Projected system load for the interval being studied with fuel supply contingency as described in Attachment 1;~~

~~1.6.4. High load for the interval being studied with system normal (no contingency) conditions;~~

~~1.6.5. High load for the interval being studied with energy contingency as described in Attachment through 1;~~

~~1.6.6. High load for the interval being studied with fuel supply contingency as described in Attachment 1; and~~

~~1.6.7. If appropriate for the seasonal time horizon, a scenario(s) with a likely event of occurring within the interval being studied that may include seasonally appropriate historical events, generation specific fuel or energy contingency scenarios, and weather events that are projected to occur if appropriate for the seasonal time horizon only.~~

~~1.7.1.4. The Balancing Authority shall document the rationale for the scenarios identified in Requirement R2.1.3.~~

~~M1. Each Balancing Authority shall have evidence that it documented and maintained a process for conducting near-term ERAs in accordance with Requirement R1.~~

~~R2. Each Balancing Authority shall scenarios document and maintain a set of Scenarios or a method of Scenario creation for use in performing near-term ERAs. Each Scenario or method shall vary one or more of the following conditions by a sufficient amount to stress the system within a range of credible situations. Include a rationale for the~~

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Scenarios or method identified. [Violation Risk Factor: Medium] [Time Horizon: Operations Planning]

2.1. Forecasted or assumed Demand profiles.

2.2. Resource capabilities and operations, including the following:

2.2.1. The effects of a credible energy supply contingency;

2.2.2. The effects of a credible fuel supply contingency; and

2.2.3. Unplanned generator outages.

2.3. Other Scenarios with a credible or historical risk of occurring based on the best information available at the time of Scenario creation.

**M2.** Each Balancing Authority shall have evidence that Scenarios or methods were developed and maintained along with a documented rationale and criteria in accordance with Requirement R2. Such evidence could include, but is not limited to, e-mail records or review or revision history to indicate that the scenarios, rationale, and criteria have been documented.

**R2.R3.** Each Balancing Authority shall develop, document and maintain, and document one or more Operating Plan(s) to mitigate unacceptable risk(s) associated with minimize forecasted Energy Emergencies as identified in the near-term ERA scenario(s) with a likely event of occurring, including provisions for notifying the Reliability Coordinator of the forecasted Energy Emergency and the Operating Plan(s). [Violation Risk Factor: Medium] [Time Horizon: Operations Planning]

**M3.** Each Balancing Authority shall have evidence that it developed, maintained, and documented and maintained its Operating Plan(s) in accordance with Requirement R3. Such evidence could include, but is not limited to, a review or revision history to indicate that the Operating Plan(s) have been developed, maintained, and documented.

**R3.R4.** The Balancing Authority shall submit the following information to its Reliability Coordinator for review on a mutually agreed upon schedule and update, if necessary, its near-term ERA process, Scenarios or methods, and Operating Plan(s) documented under Requirements R1 through R3 at least once every 24 calendar months. [Violation Risk Factor: Low] [Time Horizon: Operations Planning]

3.1. The ERA process;

3.2. The ERA scenarios; and

3.3. Operating Plan(s).

**M4.** Each Balancing Authority shall have evidence that it submitted the information to its Reliability Coordinator on a mutually agreed upon schedule reviewed and updated, if necessary, its near-term ERA process, Scenarios or methods, and Operating Plan(s), in accordance with Requirement R4.

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**R5.** ~~Each~~ Such Balancing Authority shall provide its near-term ERA process, Scenarios or methods, and Operating Plan(s) documented under Requirements R1 through R3 to the Reliability Coordinator at least once every 24 calendar months, on a mutually agreed schedule. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

~~M4.M5.~~ Each Balancing Authority shall have ~~evidence could include, but is not limited to, e-mail records.~~ it provided its near-term ERA process, Scenarios, or methods, and Operating Plan(s) documented under Requirement R1 through R3 to its Reliability Coordinator at least once every 24 calendar months, on a mutually agreed schedule, in accordance with Requirement R5.

~~R4.R6.~~ Within 60 calendar days of receipt of the information identified in Requirement R4, the Reliability Coordinator shall: *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

~~4.1.6.1.~~ Review each submittal for coordination with other Balancing Authorities' ERA information to avoid risks to Wide Area reliability; and

~~4.2.6.2.~~ Notify each Balancing Authority of the results of its review, and if ~~the need for~~ revisions is identified, are needed to address ~~any~~ reliability risks.

~~M5.M6.~~ Each Reliability Coordinator shall have evidence that it reviewed each submittal ~~with other Balancing Authorities' ERA information to avoid risks to Wide Area reliability~~ and notify ~~notified~~ each Balancing Authority of the results of the review in accordance with Requirement ~~R5. Such evidence could include, but is not limited to, e-mail records~~ R6.

~~R5.R7.~~ Within 60 calendar days of receipt of the Reliability Coordinator's notice ~~of the results of the review conducted~~ under Requirement ~~R5~~ R6, each Balancing Authority shall address any reliability risks identified by its Reliability Coordinator and resubmit the updated information required in Requirement R4 to its Reliability Coordinator; ~~unless otherwise specified by its Reliability Coordinator.~~ *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

~~M6.M7.~~ Each Balancing Authority shall have evidence that it addressed any reliability risks identified by its Reliability Coordinator ~~within 30 calendar days or as specified by~~ and resubmitted updated information to its Reliability Coordinator in accordance with Requirement ~~R6. Such evidence could include, but is not limited to, e-mail records.~~ R7.

~~R6.R8.~~ Each Balancing Authority shall perform near-term ERAs according to the process documented in Requirement R1 using the ~~scenarios~~ Scenarios or methods documented in Requirement R2. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

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~~M7, M8.~~ Each Balancing Authority shall have evidence that it performed the near-term ERA in accordance with Requirement ~~R7. Such evidence could include, but is not limited to, dated ERA results.~~ R8.

~~R7.~~ Each Balancing Authority shall determine energy reserve margins calculated for each time step of an ERA scenario according to the following: *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

~~7.1.~~ For the ERA scenarios identified in Requirement R2.1.1 and Requirement R2.1.4, the energy reserve margin is at least 150% of the largest N-1 Contingency within each Balancing Authority's footprint plus at least 2% of the load forecast for the if a near-term ERA or at least 5% of the load forecast for the seasonal ERA;

~~7.2.~~ For the ERA scenarios identified in Requirement R2.1.2 and Requirement R2.1.5, the energy reserve margin is at least the larger of 150% of the largest N-1 Contingency within each Balancing Authority's footprint or 2% of the load forecast for the near-term ERA or at least 5% of the load forecast for the seasonal ERA; and

~~7.3.~~ For the ERA scenarios identified in Requirements R2.1.3, Requirement R2.1.6, and Requirement R2.1.7, the energy reserve margin is at least 125% of the largest N-1 Contingency within each Balancing Authority's footprint.

~~M8.~~ Each Balancing Authority shall have evidence that it determined an energy reserve margin in accordance with Requirement ~~R8.~~

~~R8, R9.~~ Each Balancing Authority shall compare results of the ERA to the energy reserve margins in Requirement R8 and, if the energy reserve margins are not met identifies any of the following forecasted Energy Emergencies listed below, the Balancing Authority shall implement an Operating Plan(s) developed, as documented in Requirement R3. *[Violation Risk Factor: ~~High~~Medium] [Time Horizon: Operations Planning]*

- Forecasted EEA1 circumstances as defined in EOP-011 Attachment 1 Section B
- Forecasted EEA2 circumstances as defined in EOP-011 Attachment 1 Section B
- Forecasted EEA3 circumstances as defined in EOP-011 Attachment 1 Section B

M9. Each Balancing Authority shall have evidence that it has implemented an Operating Plan(s) ~~when the required reserve margin was not met~~ in accordance with Requirement R9.

~~R9.~~ Each Balancing Authority shall provide the results of the ERA and the comparison of results from Requirement R9 to its Each Reliability Coordinator ~~under the following conditions:~~ *[Violation Risk Factor: Low] [Time Horizon: Operations Planning]*

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~~9.1.~~ The ERA comparison to the energy reserve margin requires implementation of an Operating Plan(s) to mitigate risk<sub>2</sub> within 24 hours for the near-term time horizon or;

~~9.2.~~ The ERA performed is a seasonal ERA within 14 calendar days or;

~~9.3.~~ The Reliability Coordinator has requested the results.

~~M10.~~ Each Balancing Authority shall have evidence that it provided the results of the ERA to its Reliability Coordinator within the criteria in accordance with Requirement R10. Such evidence could include, but is not limited to, e-mail records.

~~R10.~~ Each Reliability Coordinator that receives results of of receiving a near-term ERA and the comparison of results from Requirement R9 pursuant to Requirement R10 Part ~~10.1~~ from notification that a Balancing Authority within its Reliability Coordinator Area footprint has implemented an Operating Plan pursuant to Requirement R8, shall notify, ~~within 24 hours from the time of receiving notification,~~ other Balancing Authorities and Transmission Operators in its Reliability Coordinator Area<sub>7</sub> and neighboring Reliability Coordinators of the implementation of an forecasted condition(s), and the Balancing Authority's Operating Plan(s). [*Violation Risk Factor: ~~Low~~Medium*] [*Time Horizon: Operations Planning*]

~~M11.~~M10. Each Reliability Coordinator ~~will~~shall have ~~and provide upon request,~~ evidence that could include, but is not limited to, operator logs, voice recordings or e-mail records that will be used to determine if the Reliability Coordinator demonstrating it communicated, ~~in accordance with Requirement R11,~~ within 24 hours from the time of receiving ~~results~~notice of implementation of a near-term ERA and the comparison of results from Requirement R9 pursuant to Requirement R10 Part ~~10.1~~ from a Balancing ~~Authority,~~Authority's Operating Plan, with the other Balancing Authorities and Transmission Operators in its Reliability Coordinator area, and neighboring Reliability Coordinators ~~of the implementation of an Operating Plan(s),~~ in accordance with Requirement R10.

## C. Compliance

### 1. Compliance Monitoring Process

**1.1. Compliance Enforcement Authority:** “Compliance Enforcement Authority” means NERC or the Regional Entity, or any entity as otherwise designated by an Applicable Governmental Authority, in their respective roles of monitoring and/or enforcing compliance with mandatory and enforceable Reliability Standards in their respective jurisdictions.

**1.2. Evidence Retention:** The following evidence retention period(s) identify the period of time an entity is required to retain specific evidence to demonstrate compliance. For instances where the evidence retention period specified below is shorter than the time since the last audit, the Compliance Enforcement Authority may ask an entity to provide other evidence to show that it was compliant for the full-time period since the last audit.

The applicable entity shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation.

- The Balancing Authority and Reliability Coordinator shall keep data or evidence to show compliance with applicable requirements for six months for near-term time horizon ~~and 18 months for the seasonal time horizon~~ or since the last audit.

**1.3. Compliance Monitoring and Enforcement Program:** As defined in the NERC Rules of Procedure, “Compliance Monitoring and Enforcement Program” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with the associated Reliability Standard.

## Violation Severity Levels

R #	Violation Severity Levels			
	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1	N/A	<p><del>The Balancing Authority documented an Energy Reliability Assessment process for the near-term time horizon but did not account for one of the elements in Requirement R1 Part 1.1 through Part 1.3.</del></p> <p><u>OR</u></p> <p>The Balancing Authority documented a Reliability Coordinator-reviewed Energy Reliability Assessment process for the near-term time horizon <u>accounting for each of the elements in Requirement R1 Parts 1.1 through 1.3</u> but failed to maintain it <u>at least annually.</u></p> <p><del>OR</del></p> <p><del>The Balancing Authority documented a Reliability Coordinator reviewed Energy Reliability Assessment process for the seasonal time</del></p>	<p><del>The Balancing Authority documented and maintained a Reliability Coordinator-reviewed an Energy Reliability Assessment process for the near-term time horizon but did not account for two or more of the elements in Requirement R1 Part 1.1 through Part 1.3.</del></p> <p><u>OR</u></p> <p><u>The Balancing Authority documented an</u> Energy Reliability Assessment process for the near-term time horizon <u>and seasonal time horizon</u> but failed to include one of the required base case elements under Requirement R1 Part 1.2 or supporting <u>did not provide a rationale(s) under in accordance with Requirement R1 Part 1.3 for the near term time horizon or seasonal time horizon.</u></p>	<p><del>The Balancing Authority documented and maintained a Reliability Coordinator-reviewed failed to document an Energy Reliability Assessment process for the near-term time horizon and seasonal time horizon but failed to include two or more of the required base case elements under Requirement R1 Part 1.2 or supporting rationale(s) under Requirement R1 Part 1.3 for the near term time horizon or seasonal time horizon.</del></p> <p><del>OR</del></p> <p><del>The Balancing Authority failed to document a Reliability Coordinator reviewed Energy Reliability Assessment process for the near term time horizon.</del></p> <p><del>OR</del></p> <p><del>The Balancing Authority failed to document a Reliability Coordinator reviewed Energy Reliability Assessment process for the seasonal time horizon.</del></p>

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		<del>horizon but failed to maintain it at least annually.</del>		
R2.	N/A	<p>The Balancing Authority <del>developed and documented Reliability Coordinator-reviewed Energy Reliability Assessment scenarios for the near-term time horizon</del> <u>a set of Scenarios or a method of Scenario creation</u> but failed <del>to</del> <u>did not</u> maintain them <u>it</u>.</p> <p>OR</p> <p>The Balancing Authority <del>developed and documented Reliability Coordinator-reviewed Energy Reliability Assessment scenarios for the seasonal time horizon</del> <u>a set of Scenarios or a method of Scenario creation</u> but failed <del>to maintain them</del> <u>did not include a rationale for the Scenarios or method identified</u>.</p>	<p>The Balancing Authority <del>developed and documented Reliability Coordinator-reviewed Energy Reliability Assessment scenarios for the near-term time horizon and seasonal time horizons</del> <u>a set of Scenarios or a method of Scenario creation</u> but <del>failed</del> <u>did not vary conditions by a sufficient amount</u> to <u>stress the system or include one</u> <del>all</del> of the <del>scenarios of conditions listed in Requirement R2 Part</del> <u>Parts</u> 2.1 <del>or supporting rationales under Requirement R2 Part</del> <u>through</u> 2.2 for the near-term time horizon or seasonal time horizon <u>3</u>.</p>	<p><del>The Balancing Authority developed and documented Reliability Coordinator reviewed Energy Reliability Assessment scenarios for the near-term time horizon and seasonal time horizons but failed to include two or more of the scenarios of Requirement R2 Part 2.1 or supporting rationales under Requirement R2 Part 2.2 for the near-term time horizon or seasonal time horizon.</del></p> <p>OR</p> <p><del>The Balancing Authority failed to develop or document Reliability Coordinator reviewed Energy Reliability Assessment scenarios for the near-term time horizon.</del></p> <p>OR</p> <p><del>The Balancing Authority failed to develop or document Reliability Coordinator reviewed Energy Reliability Assessment scenarios for the seasonal time horizon.</del></p> <p><u>The Balancing Authority failed to document a set of Scenarios or a method of Scenario creation for use in performing near-term ERAs.</u></p>

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<b>R3.</b>	N/A	N/A	<u>N/A</u> The <u>Balancing Authority</u> <u>documented and maintained an Operating Plan(s) to minimize forecasted Energy Emergencies as identified in the near-term ERA but failed to include provisions for notification to the Reliability Coordinator.</u>	The Balancing Authority failed to <u>develop document</u> an Operating Plan(s) to <u>mitigate risk</u> minimize <u>forecasted Energy Emergencies as identified in the Energy Reliability Assessments</u> <u>near-term ERA</u> .
<b>R4.</b>	<u>N/A</u>	<u>N/A</u>	<u>The Balancing Authority reviewed information that contained the near-term ERA process, the ERA scenarios or methods, and Operating Plan(s) but failed to update within 24 months.</u>	<u>The Balancing Authority failed to review and update, if necessary, information that contained the near-term ERA process, the ERA scenarios or methods, and Operating Plan(s) to the Reliability Coordinator.</u>
<b>R4R5.</b>	N/A	N/A	The Balancing Authority submitted information that contained the <u>Energy Reliability Assessment</u> <u>near-term ERA</u> process, the <u>Energy Reliability Assessment</u> <u>ERA</u> scenarios, and Operating Plan(s) but failed to submit <u>to the Reliability Coordinator within the 24 months, on a</u>	The Balancing Authority failed to submit information that contained the <u>Energy Reliability Assessment</u> <u>near-term ERA</u> process, the <u>Energy Reliability Assessment</u> <u>ERA</u> scenarios, and Operating Plan(s) <u>to the Reliability Coordinator.</u>

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			mutually agreed-upon schedule.	
<b><u>R5R6.</u></b>	N/A	<del>N/A</del> <u>The Reliability Coordinator reviewed each submittal for coordination with other Balancing Authorities' near-term ERA information to understand potential reliability risks to Wide Area reliability but notified one or more Balancing Authority of the results of its review in a time period that was longer than 60 calendar days but less than 90 calendar days.</u>	The Reliability Coordinator reviewed each submittal for coordination with other Balancing Authorities' <del>Energy Reliability Assessment</del> <u>near-term ERA</u> information to <del>avoid understand potential reliability</del> risks to Wide Area reliability but <del>failed to notify each</del> <u>notified one or more</u> Balancing Authority <del>within 60</del> <u>of the results of its review in a time period that was longer than 90 calendar days but less than 120</u> calendar days.	The Reliability Coordinator <del>failed to review</del> <u>reviewed</u> each submittal for coordination with other Balancing Authorities' <del>Energy Reliability Assessment</del> <u>near-term ERA</u> information to <del>avoid understand potential reliability</del> risks to Wide Area reliability <u>but failed to notify each Balancing Authority of the results of its review within 120 calendar days.</u>
<b><u>R6R7.</u></b>	N/A	N/A	The Balancing Authority addressed any reliability risks identified by its Reliability Coordinator <del>and resubmitted the updated information required in Requirement R2 to its Reliability Coordinator</del> but failed to resubmit the updated information within 60 calendar days <del>or as specified by its Reliability Coordinator.</del>	The Balancing Authority failed to address any reliability risks identified by its Reliability Coordinator.  OR The Balancing Authority failed to resubmit the updated information required in Requirement <del>R2</del> <u>R4</u> to its Reliability Coordinator.
<b><u>R7R8.</u></b>	N/A	N/A	N/A	The Balancing Authority failed to perform <del>Energy Reliability</del>

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				Assessments a <u>near-term ERA</u> in accordance with its process documented in Requirement R1 using the <del>scenarios</del> <u>Scenarios or methods</u> documented in Requirement R2.
<del>R8</del>	<del>N/A</del>	<del>N/A</del>	<del>N/A</del>	<del>The Balancing Authority failed to determine the energy reserve margins in accordance with Requirements R8 Parts 8.1 through 8.3.</del>
<del>R9</del>	<del>N/A</del>	<del>N/A</del>	<del>N/A</del>	<del>The Balancing Authority compared results of the Energy Reliability Assessment to the energy reserve margins in Requirement R8 but failed to implement an Operating Plan(s) developed in Requirement R3 upon determining the energy reserve margins were not met.</del>  <del>OR</del> <del>The Balancing Authority failed to compare results of the Energy Reliability Assessment to the energy reserve margins when a <u>near-term ERA identified any of the forecasted conditions</u> in Requirement R8.</del>
<del>R10</del>	<del>N/A</del>	<del>N/A</del>	<del>N/A</del>	<del>The Balancing Authority failed to provide the results of the Energy Reliability Assessment to its Reliability Coordinator when any of</del>

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				the conditions listed in Requirement R10.1 – R10.3 are met.
<del>R11</del> R10.	The Reliability Coordinator received <del>results of a</del> notification that a Balancing Authority within its footprint has implemented an Energy Reliability Assessment and comparison of results from Requirement R9 Operating Plan pursuant to Requirement R10 Part 10.1 R9 but notified <del>other one or more</del> Balancing Authorities <del>and/or</del> Transmission Operators in its Reliability Coordinator Area <del>and, or</del> neighboring Reliability Coordinators between 24-25 hours of receiving notification.	The Reliability Coordinator received <del>results of a</del> notification that a Balancing Authority within its footprint has implemented an Energy Reliability Assessment and comparison of results from Requirement R9 Operating Plan pursuant to Requirement R10 Part 10.1 R9 but notified <del>other one or more</del> Balancing Authorities <del>and/or</del> Transmission Operators in its Reliability Coordinator Area <del>and, or</del> neighboring Reliability Coordinators between 25-26 hours of receiving notification.	The Reliability Coordinator received <del>results of a</del> notification that a Balancing Authority within its footprint has implemented an Energy Reliability Assessment and comparison of results from Requirement R9 Operating Plan pursuant to Requirement R10 Part 10.1 R9 but notified <del>other one or more</del> Balancing Authorities <del>and/or</del> Transmission Operators in its Reliability Coordinator Area <del>and, or</del> neighboring Reliability Coordinators between 26-27 hours of receiving notification.	<del>The Reliability Coordinator received results of a notification that a Balancing Authority within its footprint has implemented an Energy Reliability Assessment and comparison of results from Requirement R9 Operating Plan pursuant to Requirement R10 Part 10.1 but notified other Balancing Authorities and Transmission Operators in its Reliability Coordinator Area and neighboring Reliability Coordinators 27 hours or more of receiving notification.</del>  <del>OR</del> <del>The Reliability Coordinator received results of an Energy Reliability Assessment and comparison of results from Requirement R9 pursuant to Requirement R10 Part 10.1 R8 but failed to notify one or more Balancing Authorities or Transmission Operators in its Reliability Coordinator Area, or one or more neighboring Reliability Coordinators within 27 hours or more of receiving notification.</del>

## D. Regional Variances

None.

## E. Associated Documents

### Implementation Plan

- Implementation Plan
- NERC Project 2022-03 Project Page

## Version History

Version	Date	Action	Change Tracking
<del>Version-1</del>	TBD	<del>Drafted by</del> NERC Project 2022-03 <del>SDT</del> energy assurance new standard.	<u>New</u>