

## Standards Announcement Initial Ballot Window Open October 20-November 3, 2010

Now available at: <https://standards.nerc.net/CurrentBallots.aspx>

### **Project 2010-10 — FAC Order 729**

An initial ballot window for standard FAC-013-2 – Planning Transfer Capability is open **until 8 p.m. Eastern on November 3, 2010**.

In addition, members of this ballot pool will be able to vote in a concurrent non-binding poll on the standard's Violation Risk Factors (VRFs) and Violation Severity Levels (VSLs). Members who joined the ballot pool to vote on the standard were automatically entered in a separate pool to participate in the non-binding poll for the VRFs and VSLs. The non-binding poll will appear in the list of current ballots, and is labeled accordingly.

Note that FAC-013-2 reflects the merging of the following standards into a single standard, making it impractical to post a “redline” of proposed FAC-013-2 that shows the changes to the last balloted version of the standard. For stakeholders who want to see the last approved versions of FAC-012-1, and FAC-013-1, these have been posted on the [FAC Order 729](#) project page for easy reference.

- FAC-012-1 – Transfer Capability Methodology
- FAC-013-1 – Establish and Communicate Transfer Capabilities

### **Instructions**

Members of the ballot pools associated with this project may log in and submit their votes from the following page: <https://standards.nerc.net/CurrentBallots.aspx>

### **Transition from Reliability Standards Development Procedure Version 7 to Standard Processes Manual**

Under the Reliability Standards Development Procedure Version 7, consensus was built with successive formal comment periods, followed by a 30-day pre-ballot review, followed by an initial ballot, and then a recirculation ballot. The intent was to use stakeholder views submitted through the formal comment periods to achieve consensus, and then to confirm that consensus during the balloting. This process did not allow a drafting team to make any changes to a standard between ballots, which incented teams to avoid making improvements once a standard had gone through an initial ballot. If a team made a change between ballots, then the standard was required to be posted for a new comment period, and then another pre-ballot review and another initial ballot. Finally, if there were no more changes made to the standard, a recirculation ballot was conducted to confirm consensus.

Under the new Standard Processes Manual, consensus is achieved through parallel comment and ballot periods. Successive comment and ballot periods are conducted until there is consensus – and then a recirculation ballot

is conducted to confirm that consensus. There is no 30-day pre-ballot review period, and drafting teams are encouraged to make revisions to the standard between successive ballots to improve the quality of the standard.

## Next Steps

The drafting team will consider all comments (those submitted with a comment form, and those submitted with a ballot) and will determine whether to make additional changes to the standard. The team will post the initial ballot results and its response to comments.

- If the standard needs significant modifications, the team will post the revised standard for a new 30-day comment period and will conduct a new ballot (called a “successive” ballot) during the last 10 days of that comment period. The team will post its response to all comments, and then proceed (if the standard needs no significant changes) to a recirculation ballot. During a successive ballot all members of the ballot pool must cast a new ballot, as the standard presented has significant changes.
- If the initial ballot and parallel comment period show that the standard needs either minor or no changes, the team will post the standard and conduct a 10-day recirculation ballot. During a recirculation ballot members of the ballot pool may cast a new vote but are not required to do so as the standard presented does not have any significant changes.

## Project Background

In Order 729, FERC ruled that the ATC standards developed in Project 2006-07 did not completely address the topics covered in FAC-012 and -013 and did not fully address the associated directives from Order 693. Accordingly, FERC denied the portions of the implementation plan that would have retired these standards, and instead directed NERC to use the standards development process to make changes to the FAC standards and file those changes with FERC no later than 60 days prior to the effective date of the standards, which is April 1, 2011 (requiring the proposed changes to be filed on or before January 31, 2011).

A second draft of the proposed standard has been developed that attempts to address the applicable FERC directives (listed in the SAR) as well as to address concerns raised by the industry during the first posting.

## Applicability of Standards in Project

Planning Coordinators

## Standards Process

The [Standard Processes Manual](#) contains all the procedures governing the standards development process. The success of the NERC standards development process depends on stakeholder participation. We extend our thanks to all those who participate.

*For more information or assistance, please contact Monica Benson,  
Standards Process Administrator, at [monica.benson@nerc.net](mailto:monica.benson@nerc.net) or at 609.452.8060.*

North American Electric Reliability Corporation  
116-390 Village Blvd.  
Princeton, NJ 08540  
609.452.8060 | [www.nerc.com](http://www.nerc.com)