

A. Introduction

1. **Title: Outage Coordination**
2. **Number: IRO-017-1**
3. **Purpose:** To ensure that outages are properly coordinated in the Operations Planning time horizon and Near-Term Transmission Planning Horizon.
4. **Applicability:**
 - 4.1. Reliability Coordinator
 - 4.2. Transmission Operator
 - 4.3. Balancing Authority
 - 4.4. Planning Coordinator
 - 4.5. Transmission Planner
5. **Effective Date:**

See Implementation Plan.
6. **Background:**

See Project 2014-03 [project page](#).

B. Requirements and Measures

- R1.** Each Reliability Coordinator shall develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area. The outage coordination process shall: *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
 - 1.1. Identify applicable roles and reporting responsibilities including:
 - 1.1.1. Development and communication of outage schedules.
 - 1.1.2. Assignment of coordination responsibilities for outage schedules between Transmission Operator(s) and Balancing Authority(s).
 - 1.2. Specify outage submission timing requirements.
 - 1.3. Define the process to evaluate the impact of Transmission and generation outages within its Wide Area.
 - 1.4. Define the process to coordinate the resolution of identified outage conflicts with its Transmission Operators and Balancing Authorities, and other Reliability Coordinators.
- M1.** Each Reliability Coordinator shall make available its dated, current, in force outage coordination process for generation and Transmission outages within its Reliability Coordinator Area.

- R2.** Each Transmission Operator and Balancing Authority shall perform the functions specified in its Reliability Coordinator’s outage coordination process. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
- M2.** Each Transmission Operator and Balancing Authority shall provide evidence upon request that it performed the functions specified in its Reliability Coordinator’s outage coordination process. Such evidence could include but is not limited to web postings with an electronic notice of the posting, dated operator logs, voice recordings, postal receipts showing the recipient, date and contents, or e-mail records.
- R3.** Each Planning Coordinator and Transmission Planner shall provide its Planning Assessment to impacted Reliability Coordinators. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- M3.** Each Planning Coordinator and Transmission Planner shall provide evidence upon request showing that it provided its Planning Assessment to impacted Reliability Coordinators. Such evidence could include but is not limited to web postings with an electronic notice of the posting, dated operator logs, voice recordings, postal receipts showing the recipient, date and contents, or e-mail records.
- R4.** Each Planning Coordinator and Transmission Planner shall jointly develop solutions with its respective Reliability Coordinator(s) for identified issues or conflicts with planned outages in its Planning Assessment for the Near-Term Transmission Planning Horizon. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- M4.** Each Planning Coordinator, and Transmission Planner shall provide evidence upon request showing that it jointly developed solutions with its respective Reliability Coordinator(s) for identified issues or conflicts with planned outages in its Planning Assessment for the Near-term Transmission Planning Horizon. Such evidence could include but is not limited to web postings with an electronic notice of the posting, dated operator logs, voice recordings, postal receipts showing the recipient, date and contents, or e-mail records.

C. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Process

As defined in the NERC Rules of Procedure, “Compliance Enforcement Authority” (CEA) means NERC or the Regional Entity in their respective roles of monitoring and enforcing compliance with the NERC Reliability Standards.

1.2. Compliance Monitoring and Assessment Processes

As defined in the NERC Rules of Procedure, “Compliance Monitoring and Assessment Processes” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with the associated reliability standard.

1.3. Data Retention

The following evidence retention periods identify the period of time an entity is required to retain specific evidence to demonstrate compliance. For instances where the evidence retention period specified below is shorter than the time since the last audit, the Compliance Enforcement Authority may ask an entity to provide other evidence to show that it was compliant for the full time period since the last audit.

Each responsible entity shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation:

Each Reliability Coordinator shall retain its dated, current, in force, outage coordination process in accordance with Requirement R1 and Measurement M1 as well as any documents in force since the last compliance audit.

Each Transmission Operator and Balancing Authority shall retain evidence for three calendar years that it followed its Reliability Coordinator outage coordination process in accordance with Requirement R2 and Measurement M2.

Each Planning Coordinator and Transmission Planner shall retain evidence for three calendar years that it has its Planning Assessment to impacted Reliability Coordinators in accordance with Requirement R3 and Measurement M3.

Each Reliability Coordinator, Planning Coordinator, and Transmission Planner shall retain evidence for three calendar years that it has coordinated solutions within the Reliability Coordinator Area for identified issues or conflicts with planned outages in the Planning Assessment in accordance with Requirement R4 and Measurement M4.

If a responsible entity is found non-compliant, it shall keep information related to the non-compliance until mitigation is complete and approved or the time period specified above, whichever is longer.

The Compliance Enforcement Authority shall keep the last audit records and all requested and submitted subsequent audit records.

1.4. Additional Compliance Information

None.

Table of Compliance Elements

R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
R1	Operations Planning	Medium	The Reliability Coordinator did develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area but it was missing one of the parts specified in Requirement R1 (Parts 1.1 – 1.4).	The Reliability Coordinator did develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area but it was missing two of the parts specified in Requirement R1 (Parts 1.1 – 1.4).	The Reliability Coordinator did develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area but it was missing three of the parts specified in Requirement R1 (Parts 1.1 – 1.4).	The Reliability Coordinator did develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area but it was missing all four of the parts specified in Requirement R1 (Parts 1.1 – 1.4). OR, The Reliability Coordinator did not develop, implement, and maintain an outage coordination process for generation and Transmission outages within its Reliability Coordinator Area.
R2	Operations Planning	Medium	N/A	N/A	N/A	The Transmission Operator or Balancing Authority did not perform the functions specified in its Reliability Coordinator’s outage coordination process.
R3	Operations Planning	Medium	N/A	N/A	N/A	The Planning Coordinator or Transmission Planner did not provide its Planning Assessment to impacted Reliability Coordinators.

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R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
R4	Operations Planning	Medium	N/A	N/A	N/A	The Planning Coordinator or Transmission Planner did not jointly develop solutions with its respective Reliability Coordinator(s) for identified issues or conflicts with planned outages in its Planning Assessment for the Near-term Transmission Planning Horizon.

D. Regional Variances

None.

E. Interpretations

None.

F. Associated Documents

Time Horizon: The official definition of the Operations Planning Time Horizon is: “operating and resource plans from day-ahead up to and including seasonal.” The SDT equates ‘seasonal’ as being up to one year out and that these requirements covers the period from day-ahead to one year out.

Version History

Version	Date	Action	Change Tracking
1	April 2014	New standard developed by Project 2014-03	New
1	November 13, 2014	Adopted by NERC Board of Trustees	Revisions under Project 2014-03
1	November 19, 2015	FERC approved IRO-017-1. Docket No. RM15-16-000	
<u>1</u>	<u>December 18, 2019</u>	<u>The Guidance and Technical Basis (including the Technical Rationale) section of the standard was removed and placed into a separate document. Because no changes were made to the mandatory and enforceable elements of the standard, the version number remains unchanged.</u>	<u>Technical Rationale Initiative</u>

Guidelines and Technical Basis

Rationale:

During development of this standard, text boxes were embedded within the standard to explain the rationale for various parts of the standard. Upon BOT approval, the text from the rationale text boxes was moved to this section.

This standard is in response to issues raised in NOPR paragraph 90 and recommendations made by the Independent Expert Review Panel and SW Outage Report on the need for an outage coordination standard. It allows for one cohesive standard to address all outage coordination concerns as opposed to having multiple requirements spread throughout the various standards.

Rationale for Time Horizon:

The official definition of the Operations Planning Time Horizon is: “operating and resource plans from day-ahead up to and including seasonal.” The SDT equates ‘seasonal’ as being up to one year out and that these requirements covers the period from day-ahead to one year out.

Rationale for R3:

Planning Assessment is a defined term and a document that Planning Coordinators and Transmission Planners already have to produce for approved TPL-001-4. It is not a compilation of load flow studies but a textual summary of what was found in those studies including rationales and assumptions.

Rationale for R4:

The SDT has re-written Requirement R4 to show that the process starts with the Planning Assessments created by the Planning Coordinator and Transmission Planner and then those Planning Assessments are reviewed and reconciled as needed with the Reliability Coordinator. This is in response to comments in paragraph 90 of the FERC NOPR about directly involving the Reliability Coordinator in the planning process for periods beyond the present one year outreach as well as recommendations in the IERP. The re-write should not be construed as relieving the Reliability Coordinator of responsibilities in this area but simply as a reflection of how the process actually starts.

In the future, the SDT believes that such coordination should take place in the TPL standards and to support that position, the SDT has created an item in a draft SAR for TPL-001-4 that would revise Requirement R8 to make the Reliability Coordinator an explicit party in the review process described there.

In addition, the SDT will submit a request to the Functional Model Working Team to adjust the roles and responsibilities of the Reliability Coordinator to this new paradigm.