

Standard Development Roadmap

This section is maintained by the drafting team during the development of the standard and will be removed when the standard becomes effective.

Development Steps Completed:

1. SC authorized the SAR and assembled a drafting team on December 5, 2006.
2. The revisions to IRO-006 to transfer business practice content to NAESB were approved as IRO-006-4 by the Board of Trustees on October 23, 2007.
3. The SDT developed a first draft for industry consideration and posted it for comments from October 30, 2008 to December 1, 2008.
4. The SDT developed a second draft for industry consideration and posted it for comments from February 19, 2009 to April 6, 2009.
5. The SDT developed a third draft for industry consideration and posted it for comments from July 13, 2009 to August 13, 2009.
6. The SDT developed a fourth draft for industry consideration and posted it for comments from October 27, 2009 to November 30, 2009.
7. The SDT has developed this fifth and final draft for industry consideration.

Proposed Action Plan and Description of Current Draft:

This is the fifth and final draft of the proposed standard. It is being posted for 30-day Pre-Ballot Review.

Future Development Plan:

| Anticipated Actions | Anticipated Date |
|---------------------------------------|-------------------------|
| Posting for 30-day Pre-Ballot Review. | May 2010 |
| Initial Ballot. | June 2010 |
| Respond to comments. | July 2010 |
| Recirculation ballot. | July 2010 |
| Board adoption. | August 4, 2010 |

Definitions of Terms Used in Standard

This section includes all newly defined or revised terms used in the proposed standard. Terms already defined in the Reliability Standards Glossary of Terms are not repeated here. New or revised definitions listed below become approved when the proposed standard is approved. When the standard becomes effective, these defined terms will be removed from the individual standard and added to the Glossary.

Reallocation: ~~The total or partial curtailment of Transactions during TLR Level 3a or 5a to allow Transactions using higher priority to be implemented.~~ (To be retired.)

Market Flow: the total amount of power flowing across a specified Facility or set of Facilities due to a market dispatch of ~~internal~~ generation internal to the market to serve Load internal to the market ~~load~~.

A. **Introduction**

1. **Title: Transmission Loading Relief Procedure for the Eastern Interconnection**
2. **Number:** IRO-006-EAST-1
3. **Purpose:** To provide an Interconnection-wide transmission loading relief procedure (TLR) for the Eastern Interconnection that can be used to prevent and/or mitigate potential or actual System Operating Limit (SOL) and Interconnection Reliability Operating Limit (IROL) exceedances to maintain reliability of the Bulk Electric System (BES).
4. **Applicability:**
 - ~~4.1. Initiating~~ Reliability Coordinators in the Eastern Interconnection.
 - ~~4.2.4.1. Responding Reliability Coordinators~~
5. **Proposed Effective Date:** First day of the first calendar quarter following the date this standard is approved by applicable regulatory authorities, or in those jurisdictions where regulatory approval is not required, the standard becomes effective on the first day of the first calendar quarter after the date this standard is approved by the NERC Board of Trustees.

B. **Requirements**

- R1. When acting or instructing others to act to mitigate the magnitude and duration of the instance of exceeding an IROL within that IROL's T_V , each Reliability Coordinator shall initiate, prior to or concurrently with the initiation of the Eastern Interconnection TLR procedure (or continuing management of this procedure if already initiated), one or more of the following actions: [*Violation Risk Factor: High*] [*Time Horizon: Real-time Operations*]
 - Inter-area redispatch
 - Intra-area redispatch of generation
 - Reconfiguration of the transmission system
 - Voluntary load reductions (e.g., Demand-side Management)
 - Involuntary load reductions
- R2. In order to ensure operating entities are provided with information needed to maintain an awareness of changes to the Transmission System, ~~When~~ initiating the Eastern Interconnection TLR procedure to prevent or mitigate an SOL or IROL exceedance, and at least every clock hour after initiation up to and including the hour when the TLR level has been identified as TLR Level 0, the Reliability Coordinator shall identify: [*Violation Risk Factor: Medium*] [*Time Horizon: Real-time Operations*]
 - 2.1. A list of congestion management actions to be implemented, and The TLR level as listed below in Table 1, and
 - 2.2. ~~A list of congestion management actions to be implemented based on the TLR level chosen.~~ One of the following TLR levels: TLR-1, TLR-2, TLR-3A, TLR-3B, TLR-4, TLR-5A, TLR-5B, TLR-6, TLR-0

| TABLE 1—TLR LEVELS¹ | |
|---|---|
| Level | Examples of Possible System Conditions |
| TLR-1 | •At least one Transmission Facility is expected to approach or exceed its SOL or IROL within 8 hours. |
| TLR-2 | •At least one Transmission Facility is approaching or is at its SOL or IROL. ◦Analysis shows that holding new and increasing non-firm Interchange Transactions and energy flows for the next hour can prevent exceeding this SOL or IROL. |
| TLR-3a | •At least one Transmission Facility is expected to exceed its SOL or IROL within the next hour. ◦Analysis shows that full or partial curtailment or reallocation² of non-firm Interchange Transactions and energy flows can prevent exceeding this SOL and IROL. |
| TLR-3b | •At least one Transmission Facility is exceeding its SOL or IROL, or •At least one Transmission Facility is expected to exceed its SOL or IROL within the current hour. ◦Analysis shows that full or partial curtailment or reallocation³ of non-firm Interchange Transactions and energy flows can prevent exceeding this SOL or IROLs. |
| TLR-4 | •At least one Transmission Facility is expected to exceed its SOL or IROL. ◦Analysis shows that full curtailment of non-firm Interchange Transactions and energy flows, or reconfiguration of the transmission system can prevent exceeding this SOL or IROL. |
| TLR-5a | •At least one Transmission Facility is expected to exceed its SOL or IROL within the next hour. ◦Analysis shows that the following actions can prevent exceeding the SOL or IROL: •Full curtailment non-firm Interchange Transactions and energy flows, and •Reconfiguration of the transmission system, if possible, and •Full or partial curtailment or reallocation⁴ of firm Interchange Transactions and energy flows. |
| TLR-5b | •At least one Transmission Facility is exceeding its SOL or IROL, or •At least one Transmission Facility is expected to exceed its SOL or IROL within the current hour. ◦Analysis shows that the following actions can prevent exceeding the SOL or IROL: •Full curtailment of non-firm Interchange Transactions and energy flows, and •Reconfiguration of the transmission system, if possible, and •Full or partial curtailment or reallocation⁵ of firm Interchange Transactions and energy flows. |
| TLR-6 | •At least one Transmission Facility is exceeding its SOL or IROL, or •At least one Transmission Facility is expected to exceed its SOL or IROL upon the removal from service of a generating unit or another transmission facility. |
| TLR-0 | •No transmission facilities are expected to approach or exceed their SOL or IROL within 8 hours, and the ICM procedure may be terminated |

R3. Upon the identification of the TLR level and a list of congestion management actions to be implemented ~~based on the TLR level chosen~~, the Reliability Coordinator initiating this TLR procedure shall: [*Violation Risk Factor: Medium*] [*Time Horizon: Real-time Operations*]

¹ ~~The listed system conditions examples are intended to assist the Reliability Coordinator in determining what level of TLR to call. The Reliability Coordinator has the discretion to choose any of these levels regardless of the examples listed, provided the Reliability Coordinator has reliability reasons to take such action. TLR levels are neither required nor expected to be issued in numerical order of level.~~

^{2,3,4,5} ~~“Reallocation” is a term defined within the NAESB TLR standards.~~

- 3.1. Notify all Reliability Coordinators in the Eastern Interconnection of the identified TLR level
 - 3.2. Communicate the list of congestion management actions to be implemented to 1.) all Reliability Coordinators in the Eastern Interconnection, and 2.) those Reliability Coordinators in other Interconnections responsible for curtailing Interchange Transactions crossing Interconnection boundaries identified in the list of congestion management actions.
 - 3.3. Request that the congestion management actions identified in Requirement R2, Part 2.2 be implemented by:
 - 1.) Each Reliability Coordinator associated with a Sink Balancing Authority for which Interchange Transactions are to be curtailed,
 - 2.) Each Reliability Coordinator associated with a Balancing Authority in the Eastern Interconnection for which Network Integration Transmission Service or Native Load is to be curtailed, and
 - 3.) Each Reliability Coordinator associated with a Balancing Authority in the Eastern Interconnection for which its Market Flow is to be curtailed.
- R4.** Each Reliability Coordinator that receives a request as described in Requirement R3, Part 3.3. shall, within 15 minutes of receiving the request, implement the communicated congestion management actions requested by the issuing Reliability Coordinator as follows~~comply with the request by taking one or both of the following sets of actions:~~ [*Violation Risk Factor: High*] [*Time Horizon: Real-time Operations*]
- ~~● Implement the communicated congestion management actions requested by the issuing Reliability Coordinator as follows:~~
 - Instruct its Balancing Authorities to implement the Interchange Transaction schedule change requests.
 - Instruct its Balancing Authorities to implement the Network Integration Transmission Service and Native Load schedule changes for which the Balancing Authorities are responsible.
 - Instruct its Balancing Authorities to implement the Market Flow schedule changes for which the Balancing Authorities are responsible
 - If assessment determines that one or more of the congestion management actions communicated in Requirement R3, Part 3.3 will result in a reliability concern or will be ineffective, Instruct implementation~~Instruct implementation~~the Reliability Coordinator may replace those specific actions with ~~of~~ alternate congestion management actions ~~to those communicated in R3,~~ provided that:
 - ~~○ Assessment determines that some or all of the congestion management actions communicated in Requirement R3, Part 3.3 will result in a reliability concern or will be ineffective, and~~
 - The alternate congestion management actions have been agreed to by the initiating Reliability Coordinator, and
 - Assessment shows that the alternate congestion management actions will not adversely affect reliability.

C. **Measures**

- M1.** Each Reliability Coordinator shall provide evidence (such as [dated](#) logs, voice recordings, or other information [in electronic or hard-copy format](#)) that when acting or instructing others to act to mitigate the magnitude and duration of the instance of exceeding an IROL within that IROL's T_v, the [Reliability](#) Coordinator initiated one or more of the actions listed in R1 prior to or concurrently with the initiation of the Eastern Interconnection TLR procedure (or continuing management of this procedure if already initiated)(R1).
- M2.** Each Reliability Coordinator shall provide evidence (such as [dated](#) logs, voice recordings, or other information [in electronic or hard-copy format](#)) that at the time it initiated the Eastern Interconnection TLR procedure, and at least every clock hour after initiation up to and including the hour when the TLR level was identified as TLR Level 0, the Reliability Coordinator identified both the TLR Level and a list of congestion management actions to be implemented ~~based on the TLR level chosen~~ (R2).
- M3.** Each Reliability Coordinator shall provide evidence (such as [dated](#) logs, voice recordings, or other information [in electronic or hard-copy format](#)) that after it identified a TLR level and a list of congestion management actions to take, it 1.) notified all Reliability Coordinators in the Eastern Interconnection of the TLR Level, 2.) communicated the list of actions to all Reliability Coordinators in the Eastern Interconnection and those Reliability Coordinators in other Interconnections responsible for curtailing Interchange Transactions crossing Interconnection boundaries identified in the list of congestion management actions, and 3.) requested the Reliability Coordinators identified in Requirement R3 Part 3.2 to implement the congestion management actions identified in Requirement R2 Part 2.2 (R3).
- M4.** Each Reliability Coordinator shall provide evidence (such as [dated](#) logs, voice recordings, or other information [in electronic or hard-copy format](#)) that within fifteen minutes of the receipt of a request as described in R3, the Reliability Coordinator complied with the request by ~~either 1.) taking one or both of the following: 1.)~~ [implementing](#) the communicated congestion management actions requested by the issuing Reliability Coordinator, or 2.) [implementing some of the communicated congestion management actions requested by the issuing Reliability Coordinator, and replacing the remainder with implemented](#)-alternate congestion management actions ~~based on~~ [if](#) assessment ~~-which~~ showed that some or all of the congestion management actions communicated in R3 would have resulted in a reliability concern or would have been ineffective, the alternate congestion management actions were agreed to by the initiating Reliability Coordinator, and assessment showed that the alternate congestion management actions would not adversely affect reliability (R4).

D. **Compliance**

1. Compliance Monitoring Process

1.1. **Compliance Enforcement Authority**

Regional Entity.

~~1.2. Compliance Monitoring Period and Reset Time Frame~~

~~Not applicable.~~

1.2. Compliance Monitoring and Enforcement Processes:

The following processes may be used:

- Compliance Audits
- Self-Certifications
- Spot Checking
- Compliance Violation Investigations
- Self-Reporting
- Complaints

1.3. Data Retention

The Reliability Coordinator shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation:

- The Reliability Coordinator shall maintain evidence to show compliance with R1, R2, R3, and R4 for the past 12 months plus the current month.
- If a Reliability Coordinator is found non-compliant, it shall keep information related to the non-compliance until found compliant or for the duration specified above, whichever is longer.

The Compliance Enforcement Authority shall keep the last audit records and all requested and submitted subsequent audit records.

~~1.4. Compliance Monitoring and Enforcement Processes:~~

~~1. The following processes may be used:~~

- ~~— Compliance Audits~~
- ~~— Self-Certifications~~
- ~~— Spot Checking~~
- ~~— Compliance Violation Investigations~~
- ~~— Self-Reporting~~
- ~~— Complaints~~

1.5.1.4. Additional Compliance Information

None.

3. Violation Severity Levels

| R # | Lower VSL | Moderate VSL | High VSL | Severe VSL |
|-----|---|--|--|--|
| R1 | | | | When acting or instructing others to act to mitigate the magnitude and duration of the instance of exceeding an IROL within that IROL's T_v , the Reliability Coordinator did not initiate one or more of the actions listed under R1 prior to or in conjunction with the initiation of the Eastern Interconnection TLR procedure (or continuing management of this procedure if already initiated). |
| R2 | The Reliability Coordinator initiating the Eastern Interconnection TLR procedure missed identifying the TLR Level and/or a list of congestion management actions to take based on the TLR level chosen for one clock hour during the period from initiation up to the hour when the TLR level was identified as TLR Level 0. | The Reliability Coordinator initiating the Eastern Interconnection TLR procedure missed identifying the TLR Level and/or a list of congestion management actions to take based on the TLR level chosen for two clock hours during the period from initiation up to the hour when the TLR level was identified as TLR Level 0. | The Reliability Coordinator initiating the Eastern Interconnection TLR procedure missed identifying the TLR Level and/or a list of congestion management actions to take based on the TLR level chosen for three clock hours during the period from initiation up to the hour when the TLR level was identified as TLR Level 0. | The Reliability Coordinator initiating the Eastern Interconnection TLR procedure missed identifying the TLR Level and/or a list of congestion management actions to take based on the TLR level chosen for four or more clock hours during the period from initiation up to the hour when the TLR level was identified as TLR Level 0. |
| R3 | The initiating Reliability Coordinator did not notify one or more Reliability Coordinators in the Eastern Interconnection of the TLR Level (3.1). | N/A | The initiating Reliability Coordinator did not communicate the list of congestion management actions to one or more of the Reliability Coordinators listed in Requirement R3, Part 3.2. | The initiating Reliability Coordinator requested none of the Reliability Coordinators identified in Requirement R3, Part 3.3 to implement the identified congestion management actions. |

| R # | Lower VSL | Moderate VSL | High VSL | Severe VSL |
|-----|-----------|--------------|--|--|
| | | | <p>OR</p> <p>The initiating Reliability Coordinator requested some, but not all, of the Reliability Coordinators identified in Requirement R3, Part 3.3 to implement the identified congestion management actions.</p> | |
| R4 | | | | <p>The responding Reliability Coordinator did not take one or both of the following actions within 15 minutes of receiving a request:</p> <p>1.) <u>1.)</u> implemented all the requested congestion management actions, <u>or 2.) implement some of the requested congestion management actions and replace the remainder with</u></p> <p>2.) Implemented alternate congestion management actions, <u>provided that:</u> based on assessment which showed that some or all of the actions communicated in Requirement R3 Part 3.3 <u>the actions replaced</u> would have resulted in a reliability concern or would have been ineffective, and that the alternate congestion management actions were agreed to by the initiating Reliability Coordinator, and</p> |

| R # | Lower VSL | Moderate VSL | High VSL | Severe VSL |
|-----|-----------|--------------|----------|--|
| | | | | assessment determined that the alternate congestion management actions would not adversely affect reliability. |

E. Variances

None.

F. Associated Documents

| [TLR Level Reference Document](#)

Revision History

| Version | Date | Action | Tracking |
|---------|------|--|----------|
| 1 | | Creation of new standard, incorporating concepts from IRO-006-4 Attachment; elimination of Regional Differences, as the standard allows the use of Market Flow | New |